I, Daniel Keller, declare:

- 1. I am an attorney duly admitted to practice before all the courts in the state of California and am a partner of KELLER, FISHBACK & JACKSON LLP. The information stated in this declaration is based on my personal knowledge. If called upon as a witness to testify, I could and would testify to the following facts.
- 2. Attached hereto as Exhibit A is a true and correct copy of the complaint filed in the subject state court action.
- 3. Attached hereto as Exhibit B is a true and correct copy of the opinion issued in *Overly v. Raybestos- Manhattan*, 1996 U.S. Dist. LEXIS 13535 (N.D. Cal. 1996).
- 4. Attached hereto as Exhibit C is a true and correct copy of the opinion issued in *Viala v. Owens-Corning Fiberglas*, 1994 U.S. Dist. LEXIS 4824 (N.D. Cal. 1994).
- 5. Attached hereto as Exhibit D is a true and correct copy of the opinion issued in *Nguyen v. Allied Signal, Inc.*, 1998 U.S. Dist. LEXIS 15517 (N.D. Cal. 1998).

I declare under the penalty of perjury under the laws of the state of California that the above is true and correct. Executed on November 19, 2007 in San Francisco, California.

/s/	
Daniel Keller	

PAGE 2

Case 3:07-cv-05403-M	JJ - Document 8 Filed 11/	20/2007 - Page 4 of 66
ATTORNEY OR PARTY WITHOUT ATTORNEY (Name, State Bar Stephen M. Fishback (SBN 191646) I. Bruce Jackson (SBN173215)	number, and address):	
J. Bruce Jackson (SBN173215)		
KELLER, FISHBACK & JACKSON, LLP	1	ENDORSED
28720 Roadside Drive, Suite 201, Agoura	Hills CA 91301	San Francisco County Superior Gourt
TELEPHONE NO.: (818)879 8033	FAX NO.:	San Especiation County Superior County
ATTORNEY FOR (Name): Plaintiffs Albert Wright		tht San Francisco
SUPERIOR COURT OF CALIFORNIA, COUNTY OF SE		GORDON PARK-LI, Clerk
STREET ADDRESS: 400 McAllister Stree	t	
MAILING ADDRESS:		GOHDUN STEPPE
CITY AND ZIP CODE: San Francisco CA 94	102	DEBORAH STEFFE Deputy Clerk
BRANCH NAME: Civic Center Courtho	ouse	BY: DEBORAH STEPPE Deputy Clerk
CASE NAME:		
	TY CHECTED TON -4 -1	
ALBERT WRIGHT, JR., et.al. v. A	W. CHESTERTON, et.al.	
CIVIL CASE COVER SHEET	Complex Case Designation	CASE NUMBER:
✓ Unlimited Limited		CGC-07-274382
(Amount (Amount	Counter Joinder	
demanded demanded is	Filed with first appearance by defen	dant JUDGE:
exceeds \$25,000) \$25,000 or less)	(Cal. Rules of Court, rule 3.402)	
	low must be completed (see instructions	on page 2).
1. Check one box below for the case type that	at best describes this case:	
Auto Tort	Contract	Provisionally Complex Civil Litigation
Auto (22)	Breach of contract/warranty (06)	(Cal. Rules of Court, rules 3.400-3.403)
Uninsured motorist (46)	Rule 3.740 collections (09)	Antitrust/Trade regulation (03)
		Construction defect (10)
Other PI/PD/WD (Personal Injury/Property	Other collections (09)	` '
Damage/Wrongful Death) Tort	Insurance coverage (18)	Mass tort (40)
Asbestos (04)	Other contract (37)	Securities litigation (28)
Product liability (24)	Real Property	Environmental/Toxic tort (30)
Medical malpractice (45)	Eminent domain/Inverse	
Other PI/PD/WD (23)	condemnation (14)	Insurance coverage claims arising from the above listed provisionally complex case
` '		types (41)
Non-PI/PD/WD (Other) Tort	Wrongful eviction (33)	
Business tort/unfair business practice (0)	7) Uther real property (26)	Enforcement of Judgment
Civil rights (08)	Unlawful Detainer	Enforcement of judgment (20)
Defamation (13)	Commercial (31)	Miscellaneous Civil Complaint
· · ·	` ` `	
Fraud (16)	Residential (32)	RICO (27)
Intellectual property (19)	Drugs (38)	Other complaint (not specified above) (42)
Professional negligence (25)	Judicial Review	Miscellaneous Civil Petition
Other non-PI/PD/WD tort (35)	Asset forfeiture (05)	
	Petition re: arbitration award (11)	Partnership and corporate governance (21)
Employment		Other petition (not specified above) (43)
Wrongful termination (36)	Writ of mandate (02)	•
Other employment (15)	Other judicial review (39)	
2. This case is ✓ is not com	polex under rule 3.400 of the California R	tules of Court. If the case is complex, mark the
factors requiring exceptional judicial mana		and of Journal II are base to compress, make
		er of witnesses
a. Large number of separately repre		
b. Extensive motion practice raising	difficult or novel e Coordination	n with related actions pending in one or more courts
issues that will be time-consuming	g to resolve in other cour	nties, states, or countries, or in a federal court
c. Substantial amount of documents		postjudgment judicial supervision
o. Cabotantar amount of accument	ary evidence i cubstantial p	500quagmont judiolal oupor noion
3. Remedies sought (check all that apply): a	a. monetary b. nonmonetary:	declaratory or injunctive relief c. v punitive
		abolatatory of injurious series
4. Number of causes of action (specify): si		
5. This case is is not a cla	iss action suit.	
6. If there are any known related cases, file	and serve a notice of related case. (You	may use form CM-015.)
•		
Date: September 13, 2007		
J. Bruce Jackson		g. Muny Just
(TYPE OR PRINT NAME)		(SIGNATURE OF PARTY OR ATTORNEY FOR PARTY)
	NOTICE	
Plaintiff must file this cover sheet with the	first paper filed in the action or proceed	ing (except small claims cases or cases filed
under the Probate Code, Family Code, or	Welfare and Institutions Code). (Cal. Ru	ales of Court, rule 3.220.) Failure to file may result
in sanctions.		•
 File this cover sheet in addition to any cover 		
		ou must serve a copy of this cover sheet on all
other parties to the action or proceeding.		•
	e 3.740 or a complex case, this cover st	neet will be used for statistical purposes only.

INSTRUCTIONS ON HOW TO COMPLETE THE COVER SHEET

To Plaintiffs and Others Filing First Papers. If you are filing a first paper (for example, a complaint) in a civil case, you must complete and file, along with your first paper, the *Civil Case Cover Sheet* contained on page 1. This information will be used to compile statistics about the types and numbers of cases filed. You must complete items 1 through 6 on the sheet. In item 1, you must check one box for the case type that best describes the case. If the case fits both a general and a more specific type of case listed in item 1, check the more specific one. If the case has multiple causes of action, check the box that best indicates the primary cause of action. To assist you in completing the sheet, examples of the cases that belong under each case type in item 1 are provided below. A cover sheet must be filed only with your initial paper. Failure to file a cover sheet with the first paper filed in a civil case may subject a party, its counsel, or both to sanctions under rules 2.30 and 3.220 of the California Rules of Court.

To Parties in Rule 3.740 Collections Cases. A "collections case" under rule 3.740 is defined as an action for recovery of money owed in a sum stated to be certain that is not more than \$25,000, exclusive of interest and attorney's fees, ansing from a transaction in which property, services, or money was acquired on credit. A collections case does not include an action seeking the following: (1) tort damages, (2) punitive damages, (3) recovery of real property, (4) recovery of personal property, or (5) a prejudgment writ of attachment. The identification of a case as a rule 3.740 collections case on this form means that it will be exempt from the general time-for-service requirements and case management rules, unless a defendant files a responsive pleading. A rule 3.740 collections case will be subject to the requirements for service and obtaining a judgment in rule 3.740.

To Parties in Complex Cases. In complex cases only, parties must also use the *Civil Case Cover Sheet* to designate whether the case is complex. If a plaintiff believes the case is complex under rule 3.400 of the California Rules of Court, this must be indicated by completing the appropriate boxes in items 1 and 2. If a plaintiff designates a case as complex, the cover sheet must be served with the complaint on all parties to the action. A defendant may file and serve no later than the time of its first appearance a joinder in the plaintiff's designation, a counter-designation that the case is not complex, or, if the plaintiff has made no designation, a designation that the case is complex.

```
Auto Tort
    Auto (22)-Personal Injury/Property
         Damage/Wrongful Death
    Uninsured Motorist (46) (if the
         case involves an uninsured
         motorist claim subject to
         arbitration, check this item
         instead of Auto)
Other PI/PD/WD (Personal Injury/
Property Damage/Wrongful Death)
    Asbestos (04)
        Asbestos Property Damage
         Asbestos Personal Injury/
             Wrongful Death
    Product Liability (not asbestos or
        toxic/environmental) (24)
    Medical Malpractice (45)
        Medical Malpractice
             Physicians & Surgeons
         Other Professional Health Care
             Malpractice
    Other PI/PD/WD (23)
        Premises Liability (e.g., slip
             and fall)
        Intentional Bodily Injury/PD/WD
             (e.g., assault, vandalism)
        Intentional Infliction of
             Emotional Distress
        Negligent Infliction of
             Emotional Distress
        Other PI/PD/WD
Non-PI/PD/WD (Other) Tort
    Business Tort/Unfair Business
       Practice (07)
    Civil Rights (e.g., discrimination,
        false arrest) (not civil
        harassment) (08)
    Defamation (e.g., slander, libel)
         (13)
    Fraud (16)
    Intellectual Property (19)
    Professional Negligence (25)
       Legal Malpractice
```

Other Professional Malpractice

(not medical or legal)

Other Non-PI/PD/WD Tort (35)

Wrongful Termination (36)

Other Employment (15)

CASE TYPES AND EXAMPLES Contract Breach of Contract/Warranty (06) Breach of Rental/Lease Contract (not unlawful detainer or wrongful eviction) Contract/Warranty Breach-Seller Plaintiff (not fraud or negligence) Negligent Breach of Contract/ Warranty Other Breach of Contract/Warranty Collections (e.g., money owed, open book accounts) (09) Collection Case-Seller Plaintiff Other Promissory Note/Collections Case Insurance Coverage (not provisionally complex) (18) **Auto Subrogation** Other Coverage Other Contract (37) Contractual Fraud Other Contract Dispute **Real Property Eminent Domain/Inverse** Condemnation (14) Wrongful Eviction (33) Other Real Property (e.g., quiet title) (26) Wnt of Possession of Real Property Mortgage Foreclosure Quiet Title Other Real Property (not eminent domain, landlord/tenant, or foreclosure) **Unlawful Detainer** Commercial (31) Residential (32) Drugs (38) (if the case involves illegal drugs, check this item; otherwise, report as Commercial or Residential) **Judicial Review** Asset Forfeiture (05) Petition Re: Arbitration Award (11) Writ of Mandate (02) Writ-Administrative Mandamus Writ-Mandamus on Limited Court Case Matter Writ-Other Limited Court Case Review Other Judicial Review (39) Review of Health Officer Order

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Provisionally Complex Civil Litigation (Cal.
Rules of Court Rules 3.400-3.403)
     Antitrust/Trade Regulation (03)
     Construction Defect (10)
     Claims Involving Mass Tort (40)
      Securities Litigation (28)
     Environmental/Toxic Tort (30)
      Insurance Coverage Claims
          (arising from provisionally complex
          case type listed above) (41)
 Enforcement of Judgment
      Enforcement of Judgment (20)
          Abstract of Judgment (Out of
              County)
          Confession of Judgment (non-
              domestic relations)
          Sister State Judgment
          Administrative Agency Award
             (not unpaid taxes)
          Petition/Certification of Entry of
             Judgment on Unpaid Taxes
          Other Enforcement of Judgment Case
  Miscellaneous Civil Complaint
      RICO (27)
      Other Complaint (not specified
          above) (42)
          Declaratory Relief Only Injunctive Relief Only (non-
              harassment)
          Mechanics Lien
          Other Commercial Complaint
               Case (non-tort/non-complex)
          Other Civil Complaint (non-tort/non-complex)
  Miscellaneous Civil Petition
      Partnership and Corporate
          Governance (21)
      Other Petition (not specified
          above) (43)
Civil Harassment
          Workplace Violence
          Elder/Dependent Adult
               Abuse
          Election Contest
          Petition for Name Change
          Petition for Relief From Late
               Claim
          Other Civil Petition
```

Employment

CM-010

Notice of Appeal-Labor

SUMMONS (CITACION JUDICIAL)

NOTICE TO DEFENDANT: (AVISO AL DEMANDADO):

A.W. CHESTERTON COMPANY, INC.; AMERICAN STANDARD, INC.;

ASBESTOS CORPORATION LTD.; see attachment

YOU ARE BEING SUED BY PLAINTIFF: (LO ESTÁ DEMANDANDO EL DEMANDANTE):

ALBERT WRIGHT JR and MARVA JOE GREEN WRIGHT

FOR COURT USE ONLY (SOLO PARA USO DE LA CORTE)

SUM-100

You have 30 CALENDAR DAYS after this summons and legal papers are served on you to file a written response at this court and have a copy served on the plaintiff. A letter or phone call will not protect you. Your written response must be in proper legal form if you want the court to hear your case. There may be a court form that you can use for your response. You can find these court forms and more information at the California Courts Online Self-Help Center (www.courtinfo.ca.gov/selfhelp), your county law library, or the courthouse nearest you. If you cannot pay the filing fee, ask the court clerk for a fee waiver form. If you do not file your response on time, you may lose the case by default, and your wages, money, and property may be taken without further warning from the court.

There are other legal requirements. You may want to call an attorney right away. If you do not know an attorney, you may want to call an attorney referral service. If you cannot afford an attorney, you may be eligible for free legal services from a nonprofit legal services program. You can locate these nonprofit groups at the California Legal Services Web site (www.lawhelpcalifornia.org), the California Courts Online Self-Help Center (www.courtinfo.ca.gov/selfhelp), or by contacting your local court or county bar association.

Tiene 30 DÍAS DE CALENDARIO después de que le entreguen esta citación y papeles legales para presentar una respuesta por escrito en esta corte y hacer que se entregue una copia al demandante. Una carta o una llamada telefónica no lo protegen. Su respuesta por escrito tiene que estar en formato legal correcto si desea que procesen su caso en la corte. Es posible que haya un formulario que usted pueda usar para su respuesta. Puede encontrar estos formularios de la corte y más información en el Centro de Ayuda de las Cortes de California (www.courtinfo.ca.gov/selfhelp/espanol/), en la biblioteca de leyes de su condado o en la corte que le quede más cerca. Si no puede pagar la cuota de presentación, pida al secretario de la corte que le dé un formulario de exención de pago de cuotas. Si no presenta su respuesta a tiempo, puede perder el caso por incumplimiento y la corte le podrá quitar su sueldo, dinero y bienes sin más advertencia.

Hay otros requisitos legales. Es recomendable que llame a un abogado inmediatamente. Si no conoce a un abogado, puede llamar a un servicio de remisión a abogados. Si no puede pagar a un abogado, es posible que cumpla con los requisitos para obtener servicios legales gratuitos de un programa de servicios legales sin fines de lucro. Puede encontrar estos grupos sin fines de lucro en el sitio web de California Legal Services, (www.lawhelpcalifornia.org), en el Centro de Ayuda de las Cortes de California, (www.courtinfo.ca.gov/selfhelp/espanol/) o poniéndose en contacto con la corte o el colegio de abogados locales.

The name and address of the court is: (El nombre y dirección de la corte es): San Francisco Superior Court

400 McAllister St.

San Francisco, CA

The name, address, and telephone number of plaintiff's attorney, or plaintiff without an attorney, is:

(El nombre, la dirección y el número de teléfono del abogado del demandante, o del demandante que no tiene abogado, es): Stephen Fishback, Keller, Fishback & Jackson LLP, 28720 Roadside Drive, Ste 201, Agoura Hills, CA 91301 818-879-8033

010-013-0033

DATE: SEP (Fecha)	1	3	2007
(Fecha)	_	•	

Gordon Park-Li

Clerk, by ___ (Secretario) Deborah Steppe

CASE NUMBER: 07 - 27 4 3 8 2

, Deputy *(Adjunto)*

(For proof of service of this summons, use Proof of Service of Summons (form POS-010).)
(Para prueba de entrega de esta citatión use el formulario Proof of Service of Summons, (POS-010)).

[SEAL]	NOTICE TO THE PERSON SERVED: You are served 1 as an individual defendant. 2 as the person sued under the fictitious name of (specify):		
	on behalf of (specify): under: CCP 416.10 (corporation) CCP 416.60 (minor) CCP 416.20 (defunct corporation) CCP 416.70 (conservatee) CCP 416.40 (association or partnership) CCP 416.90 (authorized person)		
	other (specify):		

4. ____ by personal delivery on (date):

Page 1 of 1

	· ·
	SUM-200(
SHORT TITLE:	CASE NUMBER:
Wright v AW CHESTERTON CO, et al	
INSTRUCTIONS FOR USE	
→ This form may be used as an attachment to any summons if space does not permit tr → If this attachment is used, insert the following statement in the plaintiff or defendant b Attachment form is attached."	
List additional parties (Check only one box. Use a separate page for each type of part	y.) :
Plaintiff Defendant Cross-Complainant Cross-Defe	ndant
BUFFALO PUMPS, INC.; CBS CORPORATION, a DELAWARE COI successor-in-interest to and formerly known as VIACOM, INC. and ELECTRIC CORPORATION; CRANE CO.;	WESTINGHOUSE
CROWN CORK & SEAL COMPANY, INC., individually and as succ MUNDET CORK COMPANY;	essor-in-interest to
DOMCO, INC. FLOOR PRODUCTS (TEXAS), individually and successinterest to AZROCK INDUSTRIES, INC.;	ssor-in-
DOUGLASS INSULATION COMPANY, INC.; FAIRBANKS MORS	E COMPANY:
FLOWSERVE CORPORATION, individually and as successor-in- inter	
WORTHINGTON PUMPS; FMC CORPORATION; FOSTER WHE	
FRASER'S BOILER SERVICE, INC.; GARLOCK SEALING TECHN	
successor-in-interest to GARLOCK, INC.; GENERAL ELECTRIC CO	
CORPORATION; GOULDS PUMPS, INC.;GRINNELL CORPORA	
CHEMICAL COMPANY; HONEYWELL INTERNATIONAL, INC	
as ALLIED SIGNAL, INC., as successor-in-interest to the BENDIX	
IMO INDUSTRIES, INC. individually and as successor-in-interest to D	
PUMPS and WARREN PUMPS; INGERSOLL-RAND COMPANY;	
ITT INDUSTRIES, INC. individually and as successor-in-interest to BE	
J.T. THORPE & SON, INC.; KAISER GYPSUM COMPANY, INC.;KI	
LESLIE CONTROLS, INC.; METALCLAD INSULATION ORPORA	
successor-in-interest to NOR-CAL INSULATION; MINNESOTA M	IINING CORPORATION aka
3M COMPANY; NIBCO, INC. dba CALNIBCO; OWENS-ILLINO	
PLANT INSULATION COMPANY; POWER ENGINEERING AND I	EQUIPMENT
COMPANY, INC.; QUINTEC INDUSTRIES, INC.; RAPID AMERI	
as successor-in-interest to PHILIP CAREY CORPORATION;SEPC	O CORPORATION:

as successor-in-interest to PHILIP CAREY CORPORATION; SEPCO CORPORATION: SIEMENS CORPORATION, aka, dba, and/or individually and successor-in-interest to SIEMENS ENERGY AND AUTOMATION, INC., SIEMENS POWER GENERATION;

SOCO-WEST, INC.; STERLING FLUID SYSTEMS (USA) LLC, dba and individually and successor-in-interest to PEERLESS PUMP COMPANY, INDIAN HEAD, INC.;

THORPE INSULATION CORPORATION; WARREN PUMPS, LLC; DOES 1-500

Page 1 of 1

Page 1 of 1

	Case 3:07-cv-05403-MJJ Document 8	Filed 11/20/2007 Page 8 of 66
		ENDORSED FILED San Francisco County Superior Court
1	Stephen M. Fishback, Esq. (State Bar No. 19164)	5)
2	J. Bruce Jackson, Esq. (State Bar No. 173215) KELLER, FISHBACK & JACKSON LLP	SEP 1 3 2007 GORDON PARK-LI, Clerk
3	28720 Roadside Drive, Suite 201	- DEBORAH STEPPE
	Agoura Hills, CA 91301 Telephone: 818.879.8033 CASE MANAGEMENT CO	
4	Facsimile: 818.292.8891	SUMMONS ISSUED
5	Attorneys for Plaintiffs AUG 2 0 2	12008 -122PM 2014114101170 1000TE
6	Taken and the second of the se	
7	DEPARTMEN	F 206
8		
	·	IE STATE OF CALIFORNIA OF SAN FRANCICO
9		JURISDICTION)
10	ALBERT WRIGHT JR and MARVA JOE	
11	GREEN WRIGHT,	Case No. CGC-07-274382
12	Dl. insiCo	COMPLAINT FOR PERSONAL INJURY -
13	Plaintiffs,	ASBESTOS
	vs.	(ACTION IS SUBJECT TO GENERAL
14	A.W. CHESTERTON COMPANY, INC.;	ORDERS IN FILE NO. 828684)
15	AMERICAN STANDARD, INC.;	
16	ASBESTOS CORPORATION LTD.; BUFFALO PUMPS, INC.;	Negligence
17	CBS CORPORATION, a DELAWARE	Strict Liability
18	CORPORATION, individually, successor-	False Representation Intentional Tort
	in-interest to and formerly known as VIACOM, INC. and WESTINGHOUSE	Premises Owner/Contractor Liability
19	ELECTRIC CORPORATION;	Loss of Consortium
20	CRANE CO.; CROWN CORK & SEAL COMPANY, INC.,	
21	individually and as successor-in-interest to	
22	MUNDET CORK COMPANY; DOMCO, INC. FLOOR PRODUCTS	
23	(TEXAS), individually and successor-in-	
	interest to AZROCK INDUSTRIES, INC.;	
24	DOUGLASS INSULATION COMPANY, INC.;	
25	FAIRBANKS MORSE COMPANY;	
26	FLOWSERVE CORPORATION, individually and as successor-in- interest to	
27	WORTHINGTON PUMPS;	
- '	FMC CORPORATION;	

FOSTER WHEELER LLC;

ED AGEDIG DOILED GEDAUGE DIG
FRASER'S BOILER SERVICE, INC.;
GARLOCK SEALING TECHNOLOGIES,
LLC, individually and successor-in-interest
to GARLOCK, INC.;
GENERAL ELECTRIC COMPANY;
GEORGIA-PACIFIC CORPORATION;
GOULDS PUMPS, INC.;
GRINNELL CORPORATION:
HILL BROTHERS CHEMICAL COMPANY;
HONEYWELL INTERNATIONAL, INC.
individually and formerly known as ALLIED
SIGNAL, INC., as successor-in-interest to
the BENDIX CORPORATION;
IMO INDUSTRIES, INC. individually and as
successor-in-interest to DELALVAL
PUMPS and WARREN PUMPS;
INGERSOLL-RAND COMPANY;
ITT INDUSTRIES, INC. individually and as
successor-in-interest to BELL & GOSSETT;
J.T. THORPE & SON, INC.;
KAISER GYPSUM COMPANY, INC.;
KENTILE FLOORS, INC.;
LESLIE CONTROLS, INC.;
METALCLAD INSULATION
CORPORATION, individually and as
successor-in-interest to NOR-CAL
INSULATION;
,
MINNESOTA MINING CORPORATION aka
3M COMPANY;
NIBCO, INC. dba CALNIBCO;
OWENS-ILLINOIS, INC.;
PLANT INSULATION COMPANY;
POWER ENGINEERING AND EQUIPMENT
COMPANY, INC.;
QUINTEC INDUSTRIES, INC.;
RAPID AMERICAN CORP., individually and
as successor-in-interest to PHILIP CAREY
CORPORATION;
SEPCO CORPORATION:
SIEMENS CORPORATION, aka, dba, and/or
individually and successor-in-interest to
SIEMENS ENERGY AND
AUTOMATION, INC., SIEMENS POWER
GENERATION;
SOCO-WEST, INC.;
STERLING FLUID SYSTEMS (USA) LLC,
dba and individually and successor-in-interest
to PEERLESS PUMP COMPANY, INDIAN

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HEAD, INC.; THORPE INSULATION CORPORATION: WARREN PUMPS, LLC; **DOES 1-500**

Defendants

PLAINTIFFS, ALBERT WRIGHT JR and MARVA JOE GREEN WRIGHT, (hereinafter Plaintiff(s)), COMPLAIN AND ALLEGES AS FOLLOWS as against the above-named defendants:

- 1. The true names and capacities, whether individual, corporate, associate, governmental or otherwise, of defendants DOES 1 through 500, are unknown to plaintiff at this time, who therefore sues said defendants by such fictitious names. When the true names and capacities of said defendants have been ascertained, plaintiff will amend this complaint accordingly. Plaintiff is informed and believes, and thereon alleges, that each defendant designated herein as a DOE is responsible, negligently or in some other actionable manner, for the events and happenings hereinafter referred to, and caused injuries and damages thereby to the plaintiff, as hereinafter alleged.
- 2. At all times herein mentioned, each of the defendants was the agent, servant, employee and/or joint venturer of his co-defendants, and each of them, and at all said times, each defendant was acting in the full course and scope of said agency, service, employment and/or joint venture.
- 3. Plaintiffs are informed and believes, and thereon alleges that at all times herein mentioned, defendants on Exhibits "B" and DOES 1 through 300, inclusive, were and are corporations, partnerships, unincorporated associations, sole proprietorships and/or other business entities organized and existing under and by virtue of the laws of the State of California, or the laws of some other state or foreign jurisdiction, and that said defendants, and each of them, were

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and are authorized to do and are doing business in the State of California, and that said defendants, or some of them, have regularly conducted business in the County of San Francisco, State of California.

FIRST CAUSE OF ACTION (Negligence)

PLAINTIFF COMPLAINS OF DEFENDANTS ON EXHIBIT "B," AND DOES 1-300, THEIR "ALTERNATE ENTITIES," AND EACH OF THEM, AND FOR A CAUSE OF ACTION FOR NEGLIGENCE ALLEGES AS FOLLOWS:

4. At all times herein mentioned, each of the named defendants and DOES 1 through 300 was the successor, successor in business, successor in product line or a portion thereof, assign, predecessor, predecessor in business, predecessor in product line or a portion thereof, parent, subsidiary, wholly or partially owned by, or the whole or partial owner of or member in an entity researching, studying, manufacturing, fabricating, designing, modifying, labeling, assembling, distributing, leasing, buying, offering for sale, supplying, selling, inspecting, servicing, installing, contracting for installation, repairing, marketing, warranting, re-branding, manufacturing for others, packaging and advertising a certain product, namely asbestos, and other products containing asbestos. Said entities shall hereinafter collectively be called "alternate entities." Each of the herein named defendants is liable for the tortious conduct of each successor, successor in business, successor in product line or a portion thereof, assign, predecessor in product line or a portion thereof, parent, subsidiary, whole or partial owner, or wholly or partially owned entity, or entity that it was a member of, or funded, that researched, studied, manufactured, fabricated, designed, modified, labeled, assembled, distributed, leased, bought, offered for sale, supplied, sold, inspected, serviced, installed, contracted for installation, repaired, marketed, warranted, re-branded, manufactured for others and advertised a certain product, namely asbestos, and other products containing asbestos. The following defendants, and each of them, are liable for the acts of each and every "alternate entity," and each of them, in that there has been a virtual destruction of plaintiff's remedy against each such "alternate entity"; defendants, and each of them, have acquired the assets, product line, or a portion thereof, of each such "alternate entity";

Case 3:07-cv-05403-MJJ

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- such "alternate entity"; defendants, and each of them, caused the destruction of plaintiff's remedy against each such "alternate entity"; each such defendant has the ability to assume the riskspreading role of each such "alternate entity"; and that each such defendant enjoys the goodwill originally attached to each such "alternate entity."
- 5. At all times herein mentioned, defendants, their "alternate entities," and each of them, were and/or are engaged in the business of researching, manufacturing, fabricating, designing, modifying, labeling, assembling, distributing, leasing, buying, offering for sale, supplying, selling, inspecting, servicing, installing, contracting for installation, repairing, marketing, warranting, re-branding, manufacturing for others, packaging and advertising a certain product, namely asbestos and other products containing asbestos. Said asbestos and other products containing asbestos (hereinafter "asbestos and other products containing asbestos" and/or "asbestos-containing products") specifically include, but are not limited to: adhesives/tape/mastic; thermal insulation/insulating materials; insulating cement; pipecovering/block insulation; caulking/putties; cement pipe; boilers; heaters; ductwork; cloth; flooring materials; blankets; cloth; gaskets; packing; pumps; compressors; pipes; valves; engines; turbines; motors; generators; gloves/aprons; thermal paper; asbestos fiber; refractory materials; panels; boards; tubes.
- 6. At all times herein mentioned, defendants, their "alternate entities" and each of them, singularly and jointly, negligently and carelessly researched, manufactured, fabricated, designed, modified, tested or failed to test, abated or failed to abate, warned or failed to warn of the health hazards, labeled, assembled, distributed, leased, bought, offered for sale, supplied, sold, inspected, serviced, installed, contracted for installation, repaired, marketed, warranted, rebranded, manufactured for others, packaged and advertised, a certain product, namely asbestos, and other products containing asbestos, in that said products caused personal injuries to users, consumers, workers, bystanders and others, including the plaintiff herein, (hereinafter collectively called "exposed persons"), while being used in a manner that was reasonably foreseeable, thereby rendering said products unsafe and dangerous for use by "exposed persons".

- 7. Defendants, their "alternate entities," and each of them, had a duty to exercise due care in the pursuance of the activities mentioned above and defendants, and each of them, breached said duty of due care.
- 8. Defendants, their "alternate entities" and each of them, knew, or should have known, and intended that the aforementioned asbestos and products containing asbestos would be transported by truck, rail, ship and other common carriers, that in the shipping process the products would break, crumble or be otherwise damaged; and/or that such products would be used for insulation, construction, plastering, fireproofing, soundproofing, automotive, aircraft and/or other applications, including, but not limited to sawing, chipping, hammering, scraping, sanding, breaking, removal, "rip-out," and other manipulation, resulting in the release of airborne asbestos fibers, and that through such foreseeable use and/or handling "exposed persons," including plaintiff herein, would use or be in proximity to and exposed to said asbestos fibers.
- 9. Plaintiff has used, handled or been otherwise exposed to asbestos and asbestoscontaining products referred to herein in a manner that was reasonably foreseeable. Plaintiff's exposure to asbestos and asbestos-containing products occurred at various locations as set forth in Exhibit "A," attached to plaintiff's complaint and incorporated by reference herein.
 - 9a. Defendants, their "alternate entities," and each of them breached their duties by:
- (a) failing to warn plaintiff of the dangers, characteristics, and potentialities of their asbestos-containing products when they knew or should have known that exposure to their asbestos-containing products would cause disease and injury;
- (b) failing to warn plaintiff of the dangers to which he was exposed when they knew or should have known of the dangers;
- (c) failing to exercise reasonable care to warn plaintiff of what would be safe, sufficient, and proper protective clothing, equipment, and appliances when working with or near or being exposed to their asbestos and asbestos-containing products;
- (d) failing to provide safe, sufficient and proper protective clothing, equipment and appliances with their asbestos and asbestos-containing products;
 - (e) failing to test its asbestos and asbestos-containing products in order to ascertain the

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extent of danger involved upon exposure thereto;

- (f) failing to conduct such research as should have been conducted in the exercise of reasonable care, in order to ascertain the dangers involved upon exposure to their asbestos and asbestos-containing products:
- (g) failing to remove the product or products from the market when the defendant corporations knew or should have known of the hazards of exposure to their asbestos and asbestoscontaining products;
- (h) failing upon discovery of the dangers, hazards, and potentialities of exposure to asbestos to adequately warn and apprise plaintiff of said dangers, hazards, and potentialities discovered;
- (i) failing upon discovery of the dangers, hazards, and potentialities of exposure to asbestos to package said asbestos and asbestos-containing products so as to eliminate said dangers, hazards, and potentialities;
- (j) failing to advise plaintiff and others that the risks inherent in their asbestos-containing product greatly outweighed the benefits, if any, afforded by such products; and
- (k) generally using unreasonable, careless, and negligent conduct in the manufacture, fabrication, supply, distribution, sale, installation, and/or use of their asbestos and asbestoscontaining products, among other actions/inactions.

Plaintiffs disclaim any cause of action or recovery for any injuries and damages resulting from exposure to asbestos caused by the acts or omissions of defendants committed at the specific and proven direction of an officer of the United States Government acting within in his official capacity. To the extent that any of the plaintiff's asbestos exposure occurred on board vessels or aircraft owned and/or operated exclusively by the United States military or the construction and/or repair of such vessels or aircraft occurred on proven federal enclaves, plaintiff's negligence and strict liability claims against manufacturers, sellers and suppliers of specialized machinery pumps, valves, boilers, turbines, separators, steam traps, engines and other mechanical equipment installed in such vessels and aircraft are not based on the theory of defective design, but rather on the theory of failure to warn of the health risks and hazards associated with working with and/or around

asbestos and asbestos-containing products only and renders such defendants liable in both negligence and in strict products liability for such marketing defect.

- 10. As a direct and proximate result of the conduct of the defendants, their "alternate entities," and each of them, as aforesaid, plaintiff's exposure to asbestos and asbestos-containing products caused severe and permanent injury to the plaintiff, the nature of which, along with the date of plaintiff's diagnosis, are set forth in Exhibit "A," attached to plaintiff's complaint and incorporated by reference herein.
- 11. Plaintiff is informed and believes, and thereon alleges, that progressive lung disease, cancer and other serious diseases are caused by inhalation of asbestos fibers without perceptible trauma and that said disease results from exposure to asbestos and asbestos-containing products over a period of time.
- 12. Plaintiff suffers from a condition related to exposure to asbestos and asbestoscontaining products. Plaintiff was not aware at the time of exposure that asbestos or asbestoscontaining products presented any risk of injury and/or disease.
- 13. As a direct and proximate result of the aforesaid conduct of defendants, their "alternate entities," and each of them, plaintiff has suffered, and continues to suffer, permanent injuries and/or future increased risk of injuries to his person, body and health, including, but not limited to, asbestosis, other lung damage, and cancer, and the mental and emotional distress attendant thereto, from the effect of exposure to asbestos fibers, all to his general damage in the sum in excess of the jurisdictional limits of a limited civil case in the Superior Court.
- 14. As a direct and proximate result of the aforesaid conduct of the defendants, their "alternate entities," and each of them, plaintiff has incurred, is presently incurring, and will incur in the future, liability for physicians, surgeons, nurses, hospital care, medicine, hospices, x-rays and other medical treatment, the true and exact amount thereof being unknown to plaintiff at this time, and plaintiff prays leave to amend this complaint accordingly when the true and exact cost thereof is ascertained.
- 15. As a further direct and proximate result of the said conduct of the defendants, their "alternate entities," and each of them, plaintiff has incurred, and will incur, loss of income,

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wages, profits and commissions, a diminishment of earning potential, and other pecuniary losses, the full nature and extent of which are not yet known to plaintiff; and leave is requested to amend this complaint to conform to proof at the time of trial.

- 16. Defendants, their "alternate entities," and each of them, and their officers, directors and managing agents participated in, authorized, expressly and impliedly ratified, and had full knowledge of, or should have known of, each of the acts set forth herein.
- 17. Defendants, their "alternate entities," and each of them, are liable for the fraudulent, oppressive, and malicious acts of their "alternate entities," and each of them, and each defendant's officers, directors and managing agents participated in, authorized, expressly and impliedly ratified, and had full knowledge of, or should have known of, the acts of each of their "alternate entities" as set forth herein.
- 18. The herein-described conduct of said defendants, their "alternate entities," and each of them, was and is willful, malicious, fraudulent, outrageous and in conscious disregard and indifference to the safety and health of "exposed persons." Plaintiff, for the sake of example and by way of punishing said defendants, seeks punitive damages according to proof.

WHEREFORE, plaintiff prays judgment against defendants, their "alternate entities," and each of them, as hereinafter set forth.

SECOND CAUSE OF ACTION (Strict Liability)

AS AND FOR A SECOND, SEPARATE, FURTHER AND DISTINCT CAUSE OF ACTION FOR STRICT LIABILITY, PLAINTIFF COMPLAINS OF DEFENDANTS ON EXHIBIT "B," DOES 1-300, THEIR "ALTERNATE ENTITIES," AND EACH OF THEM, AND ALLEGES AS FOLLOWS:

- 19. Plaintiff incorporates herein by reference, as though fully set forth herein, the allegations contained in Paragraphs 4-5 and 8-18 of the First Cause of Action herein.
- 20. Defendants, their "alternate entities," and each of them, knew and intended that the above-referenced asbestos and asbestos-containing products would be used by the purchaser or

user without inspection for defects therein or in any of their component parts and without knowledge of the hazards involved in such use.

- 21. Said asbestos and asbestos-containing products were defective and unsafe for their intended purpose in that the inhalation of asbestos fibers causes serious disease and/or death. The defect existed in the said products at the time they left the possession of defendants, their "alternate entities," and each of them. Said products did, in fact, cause personal injuries, including asbestosis, other lung damage, and cancer to "exposed persons," including plaintiff herein, while being used in a reasonably foreseeable manner, thereby rendering the same defective, unsafe and dangerous for use.
- 22. "Exposed persons" did not know of the substantial danger of using said products. Said dangers were not readily recognizable by "exposed persons". Said defendants, their "alternate entities," and each of them, further failed to adequately warn of the risks to which plaintiff and others similarly situated were exposed.
- 23. In researching, manufacturing, fabricating, designing, modifying, testing or failing to test, warning or failing to warn, labeling, assembling, distributing, leasing, buying, offering for sale, supplying, selling, inspecting, servicing, installing, contracting for installation, repairing, marketing, warranting, re-branding, manufacturing for others, packaging and advertising asbestos and asbestos-containing products, defendants, their "alternate entities," and each of them, did so with conscious disregard for the safety of "exposed persons" who came in contact with said asbestos and asbestos-containing products, in that said defendants, their "alternate entities," and each of them, had prior knowledge that there was a substantial risk of injury or death resulting from exposure to asbestos or asbestos-containing products, including, but not limited to, mesothelioma, lung cancer, and asbestosis. Said knowledge was obtained, in part, from scientific studies, government data, and medical data to which defendants had access, as well as scientific studies performed by, at the request of, or with the assistance of, said defendants, their "alternate entities," and each of them, and which knowledge was obtained by said defendants, their "alternate entities," and each of them on or before 1930, and thereafter.

- 24. On or before 1930, and thereafter, said defendants, their "alternate entities" and each of them, were aware that members of the general public and other "exposed persons," who would come in contact with their asbestos and asbestos-containing products, had no knowledge or information indicating that asbestos or asbestos-containing products could cause injury, and said defendants, their "alternate entities," and each of them, knew that members of the general public and other "exposed persons," who came in contact with asbestos and asbestos-containing products, would assume, and in fact did assume, that exposure to asbestos and asbestos-containing products was safe, when in fact said exposure was extremely hazardous to health and human life.
- 25. With said knowledge, said defendants, their "alternate entities," and each of them, opted to research, manufacture, fabricate, design, modify, label, assemble, distribute, lease, buy, offer for sale, supply, sell, inspect, service, install, contract for installation, repair, market, warrant, re-brand, manufacture for others, package and advertise said asbestos and asbestoscontaining products without attempting to protect "exposed persons" from or warn "exposed persons" of, the high risk of injury or death resulting from exposure to asbestos and asbestoscontaining products. Rather than attempting to protect "exposed persons" from, or warn "exposed persons" of, the high risk of injury or death resulting from exposure to asbestos and asbestoscontaining products, defendants, their "alternate entities," and each of them, intentionally failed to reveal their knowledge of said risk, and consciously and actively concealed and suppressed said knowledge from "exposed persons" and members of the general public, thus impliedly representing to "exposed persons" and members of the general public that asbestos and asbestoscontaining products were safe for all reasonably foreseeable uses. Defendants, their "alternate entities," and each of them, engaged in this conduct and made these implied representations with the knowledge of the falsity of said implied representations.
- 26. The above-referenced conduct of said defendants, their "alternate entities," and each of them, was motivated by the financial interest of said defendants, their "alternate entities," and each of them, in the continuing, uninterrupted research, design, modification, manufacture, fabrication, labeling, assembly, distribution, lease, purchase, offer for sale, supply, sale, inspection, installation, contracting for installation, repair, marketing, warranting, re-branding, manufacturing

for others, packaging and advertising of asbestos and asbestos-containing products. In pursuance of said financial motivation, said defendants, their "alternate entities," and each of them, consciously disregarded the safety of "exposed persons" and in fact were consciously willing and intended to permit asbestos and asbestos-containing products to cause injury to "exposed persons" and induced persons to work with and be exposed thereto, including plaintiff.

- 27. Plaintiff alleges that the aforementioned defendants, their "alternate entities," and each of them impliedly warranted their asbestos and asbestos-containing products, to be safe for their intended use but that their asbestos and asbestos-containing products, created an unreasonable risk of bodily harm to exposed persons.
- 28. Plaintiff further alleges his injuries are a result of cumulative exposure to asbestos and various asbestos-containing products manufactured, fabricated, inadequately researched, designed, modified, inadequately tested, labeled, assembled, distributed, leased, bought, offered for sale, supplied, sold, inspected, serviced, installed, contracted for installation, repaired, marketed, warranted, re-branded, manufactured for others, packaged and advertised by the aforementioned defendants, their "alternate entities," and each of them and that plaintiff cannot identify precisely which asbestos or asbestos-containing products caused the injuries complained of herein.
- 29. Plaintiff relied upon defendants', their "alternate entities'," and each of their representations, lack of warnings, and implied warranties of fitness of asbestos and their asbestoscontaining products. As a direct, foreseeable and proximate result thereof, plaintiff has been injured permanently as alleged herein.
- 30. As the above referenced conduct of said defendants was and is vile, base, willful, malicious, fraudulent, oppressive, outrageous, and in conscious disregard and indifference to the safety and health of "exposed persons", including plaintiff who has suffered the injuries and damages previously alleged, plaintiffs, for the sake of example, and by way of punishing said defendants, seek damages according to proof.

WHEREFORE, plaintiff prays judgment against defendants, their "alternate entities," and each of them, as hereinafter set forth.

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THIRD CAUSE OF ACTION

(False Representation Under Restatement of Torts Section 402-B)

AS AND FOR A FURTHER, THIRD, SEPARATE AND DISTINCT CAUSE OF ACTION FOR FALSE REPRESENTATION UNDER RESTATEMENT OF TORTS SECTION 402-B, PLAINTIFF COMPLAINS OF DEFENDANTS ON EXHIBIT "B," DOES 1-300, THEIR "ALTERNATE ENTITIES," AND EACH OF THEM, AND ALLEGES AS FOLLOWS:

- 31. Plaintiffs hereby incorporate by reference, as though fully set forth herein, each and every allegation contained in the First and Second Causes of Action.
- 32. At all times herein mentioned, each of the named defendants and DOES 1 through 300 was the successor, successor in business, successor in product line or a portion thereof, assign, predecessor, predecessor in business, predecessor in product line or a portion thereof, parent, subsidiary, wholly or partially owned by, or the whole or partial owner of or member in an entity researching, studying, manufacturing, fabricating, designing, modifying, labeling, assembling, distributing, leasing, buying, offering for sale, supplying, selling, inspecting, servicing, installing, contracting for installation, repairing, marketing, warranting, re-branding, manufacturing for others, packaging and advertising a certain product, namely asbestos, and other products containing asbestos. Said entities shall hereinafter collectively be called "alternate entities." Each of the herein named defendants is liable for the tortious conduct of each successor, successor in business, successor in product line or a portion thereof, assign, predecessor in product line or a portion thereof, parent, subsidiary, whole or partial owner, or wholly or partially owned entity, or entity that it was a member of, or funded, that researched, studied, manufactured, fabricated, designed, modified, labeled, assembled, distributed, leased, bought, offered for sale, supplied, sold, inspected, serviced, installed, contracted for installation, repaired, marketed, warranted, re-branded, manufactured for others and advertised a certain product, namely asbestos, and other products containing asbestos. The following defendants, and each of them, are liable for the acts of each and every "alternate entity," and each of them, in that there has been a virtual destruction of plaintiff's remedy against each such "alternate entity"; defendants, and each of them, have acquired the assets, product line, or a portion thereof, of each such "alternate entity";

such "alternate entity"; defendants, and each of them, caused the destruction of plaintiff's remedy against each such "alternate entity"; each such defendant has the ability to assume the risk-spreading role of each such "alternate entity"; and that each such defendant enjoys the goodwill originally attached to each such "alternate entity."

- 33. At all times herein mentioned, defendants, their "alternate entities," and each of them, were and/or are engaged in the business of researching, manufacturing, fabricating, designing, modifying, labeling, assembling, distributing, leasing, buying, offering for sale, supplying, selling, inspecting, servicing, installing, contracting for installation, repairing, marketing, warranting, re-branding, manufacturing for others, packaging and advertising a certain product, namely asbestos and other products containing asbestos. Said asbestos and other products containing asbestos" and/or "asbestos-containing products") specifically include, but are not limited to: adhesives/tape/mastic; thermal insulation/insulating materials; insulating cement; pipecovering/block insulation; caulking/putties; cement pipe; boilers; heaters; ductwork; cloth; flooring materials; blankets; cloth; gaskets; packing; pumps; compressors; pipes; valves; engines; turbines; motors; generators; gloves/aprons; thermal paper; asbestos fiber; refractory materials; panels; boards; tubes.
- 34. At all times herein mentioned, defendants, their "alternate entities" and each of them, singularly and jointly, negligently and carelessly researched, manufactured, fabricated, designed, modified, tested or failed to test, abated or failed to abate, warned or failed to warn of the health hazards, labeled, assembled, distributed, leased, bought, offered for sale, supplied, sold, inspected, serviced, installed, contracted for installation, repaired, marketed, warranted, rebranded, manufactured for others, packaged and advertised, a certain product, namely asbestos, and other products containing asbestos, in that said products caused personal injuries to users, consumers, workers, bystanders and others, including the plaintiff herein, (hereinafter collectively called "exposed persons"), while being used in a manner that was reasonably foreseeable, thereby rendering said products unsafe and dangerous for use by "exposed persons".

Case 3:07-cv-05403-MJJ

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- 35. Defendants, their "alternate entities," and each of them, had a duty to exercise due care in the pursuance of the activities mentioned above and defendants, and each of them, breached said duty of due care.
- 36. Defendants, their "alternate entities" and each of them, knew, or should have known, and intended that the aforementioned asbestos and products containing asbestos would be transported by truck, rail, ship and other common carriers, that in the shipping process the products would break, crumble or be otherwise damaged; and/or that such products would be used for insulation, construction, plastering, fireproofing, soundproofing, automotive, aircraft and/or other applications, including, but not limited to sawing, chipping, hammering, scraping, sanding, breaking, removal, "rip-out," and other manipulation, resulting in the release of airborne asbestos fibers, and that through such foreseeable use and/or handling "exposed persons," including plaintiff herein, would use or be in proximity to and exposed to said asbestos fibers.
- 37. Plaintiff has used, handled or been otherwise exposed to asbestos and asbestoscontaining products referred to herein in a manner that was reasonably foreseeable. Plaintiff's exposure to asbestos and asbestos-containing products occurred at various locations as set forth in Exhibit "A," attached to plaintiff's complaint and incorporated by reference herein.
- 38. As a direct and proximate result of the conduct of the defendants, their "alternate entities," and each of them, as aforesaid, plaintiff's exposure to asbestos and asbestos-containing products caused severe and permanent injury to the plaintiff, the nature of which, along with the date of plaintiff's diagnosis, are set forth in Exhibit "A," attached to plaintiff's complaint and incorporated by reference herein.
- 39. Plaintiff is informed and believes, and thereon alleges, that progressive lung disease, cancer and other serious diseases are caused by inhalation of asbestos fibers without perceptible trauma and that said disease results from exposure to asbestos and asbestos-containing products over a period of time.
- 40. Plaintiff suffers from a condition related to exposure to asbestos and asbestoscontaining products. Plaintiff was not aware at the time of exposure that asbestos or asbestoscontaining products presented any risk of injury and/or disease.

- 41. As a direct and proximate result of the aforesaid conduct of defendants, their "alternate entities," and each of them, plaintiff has suffered, and continues to suffer, permanent injuries and/or future increased risk of injuries to his person, body and health, including, but not limited to, asbestosis, other lung damage, and cancer, and the mental and emotional distress attendant thereto, from the effect of exposure to asbestos fibers, all to his general damage in the sum in excess of the jurisdictional limits of a limited civil case in the Superior Court.
- 42. As a direct and proximate result of the aforesaid conduct of the defendants, their "alternate entities," and each of them, plaintiff has incurred, is presently incurring, and will incur in the future, liability for physicians, surgeons, nurses, hospital care, medicine, hospices, x-rays and other medical treatment, the true and exact amount thereof being unknown to plaintiff at this time, and plaintiff prays leave to amend this complaint accordingly when the true and exact cost thereof is ascertained.
- 43. As a further direct and proximate result of the said conduct of the defendants, their "alternate entities," and each of them, plaintiff has incurred, and will incur, loss of income, wages, profits and commissions, a diminishment of earning potential, and other pecuniary losses, the full nature and extent of which are not yet known to plaintiff; and leave is requested to amend this complaint to conform to proof at the time of trial.
- 44. Defendants, their "alternate entities," and each of them, and their officers, directors and managing agents participated in, authorized, expressly and impliedly ratified, and had full knowledge of or should have knowledge of each of the acts set forth herein. The specific names, job titles, positions, or other identifying offices of said individuals are necessarily in possession of defendants and full information concerning the facts relevant to this controversy and defendants, and each of them, have more knowledge or the identities of said persons than plaintiffs, herein.
- 45. At the aforementioned time when defendants, their "alternate entities," and each of them, researched, manufactured, fabricated, designed, modified, tested or failed to test, inadequately warned or failed to warn, labeled, assembled, distributed, leased, bought, offered for sale, supplied, sold, inspected, serviced, installed, contracted for installation, repaired, marketed,

Case 3:07-cv-05403-MJJ

28

warranted, re-branded, manufactured for others, packaged and advertised the said asbestos and asbestos-containing products, as herein above set forth, the defendants, their "alternate entities," and each of them, expressly and impliedly represented to members of the general public, including the purchasers and users of said product, and other "exposed persons," including the plaintiff herein and potentially some or all of his employers, that asbestos and asbestos-containing products, were of merchantable quality, free of defects, and safe for the use for which they were intended. Said misrepresentations of such material facts impacting the safety, reliability, and quality of defendants' asbestos-containing products specifically included the failure to disclose known or suspected hazards of working with and around said asbestos-containing products to the plaintiff and others. Defendants' misrepresentations went far beyond the bounds of mere promises because defendants affirmatively stated through those means more fully described herein that defendants' asbestos and/or asbestos-containing products were safe and safe to use for their intended uses, but they were not safe. Defendants knew or should have known at the time of their misrepresentations that such representations were false; defendants knew or should have known at the time of their misrepresentations that their asbestos and/or asbestos-containing products were not safe or safe for their intended uses. Despite knowing of the dangers to plaintiff of working with and around defendants' asbestos and/or asbestos-containing, defendants failed to disclose such dangers and in fact concealed the same from plaintiff. Defendants' misrepresentations and concealment of the dangers attendant to working with and around defendants' asbestos and/or asbestos-containing products occurred during such periods as defendants researched, manufactured, fabricated, designed, modified, tested or failed to test, abated or failed to abate, warned or failed to warn of the health hazards, labeled, assembled, distributed, leased, bought, offered for sale, supplied, sold, inspected, serviced, installed, contracted for installation, repaired, marketed, warranted, rebranded, manufactured for others, packaged and advertised asbestos and other products containing asbestos, and more specifically as it relates to plaintiff, during those periods of employment when plaintiff worked with defendants' asbestos and/or asbestos-containing products as detailed in Exhibit A. The facts misrepresented regarding the safety, reliability, and quality of defendants' asbestos-containing products are necessarily in possession of defendants who have full information Case 3:07-cv-05403-MJJ

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27 28 concerning the facts relevant to this controversy and defendants, and each of them, have more knowledge or the facts of said misrepresentations than plaintiffs, herein.

- 46. The purchasers and users of said asbestos and asbestos-containing products, and other "exposed persons," including the plaintiff and potentially some or all of his employers, relied upon said representations of defendants, their "alternate entities," and each of them, as defendants, their "alternate entities," and each of them so intended, in the selection, purchase and use of asbestos and asbestos-containing products.
- 47. Said representations by defendants, their "alternate entities," and each of them, were false and untrue, and defendants knew or should have known at the time they were untrue, in that the asbestos and asbestos-containing products were not safe for their intended use, nor were they of merchantable quality as represented by defendants, their "alternate entities," and each of them, in that asbestos and asbestos-containing products have very dangerous properties and defects whereby said products cause asbestosis, other lung damages and cancer, and have other defects that cause injury and damage to the users of said products and other "exposed persons," thereby threatening the health and life of said persons including plaintiff herein.
- Defendants, their "alternate entities," and each of them, based on the state of scientific and medical literature, as well as their own studies and research conducted regarding the health hazards associated with working with and/or around asbestos-containing product had no reasonable basis for believing the untrue representations made to plaintiff, plaintiff's employers, and other groups and organizations with which plaintiff was affiliated, such as unions, through which plaintiff would reasonably be expected to learn of such misrepresentations.
- Plaintiff and others, lacking the sophistication and knowledgeable regarding the health hazards of working with and around defendants', their alternate entities, and each of them, asbestos-containing products and relied upon the said misrepresentations and were, in fact, deceived into believing that said asbestos-containing products were safe and free of defects and would not cause severe risk of injury and death. Had plaintiff known that defendants' asbestos

and/or asbestos-containing products were unsafe for their intended uses, plaintiff would not have used and/or worked around the same or taken other steps to properly safeguard his health or the health of others when working with or around defendants' and others' asbestos-containing products.

- 50. The facts intentionally omitted or misstated regarding the safety, reliability, and quality of defendants' asbestos and/or asbestos-containing products were/are necessarily in possession of defendants who had/have full information concerning the facts relevant to this controversy and defendants, and each of them, had/have more knowledge of the facts of said concealments and nondisclosures than plaintiff, herein.
- As a direct and proximate result of said false representations by defendants, their "alternate entities," and each of them, the plaintiff sustained the injuries and damages herein above set forth.

WHEREFORE, plaintiff prays judgment against defendants, their "alternate entities," and each of them, as hereinafter set forth.

FOURTH CAUSE OF ACTION (Intentional Tort)

AS AND FOR A FURTHER, FOURTH, SEPARATE AND DISTINCT CAUSE OF ACTION FOR AN INTENTIONAL TORT UNDER CIVIL CODE SECTIONS 1708 THROUGH 1710, PLAINTIFF COMPLAINS OF DEFENDANTS ON EXHIBIT "B," DOES 1-300, THEIR "ALTERNATE ENTITIES," AND ALLEGES AS FOLLOWS:

- 52. Plaintiff, by this reference, hereby incorporates by reference, as though fully set forth herein, each and every allegation contained in the Third Causes of Action herein, excepting therefrom allegations pertaining to negligence.
- 53. At all times pertinent hereto, the defendants, their "alternate entities," and each of them, owed plaintiff a duty, as provided for in Section 1708, 1709 and 1710 of the Civil Code of the State of California, to abstain from injuring the person, property or rights of the plaintiff. When a duty to act was imposed, as set forth herein, the defendants, their "alternate entities," and

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each of them, did do the acts and omissions in violation of that duty, thereby causing injury to the plaintiff as is more fully set forth herein. Such acts and omissions consisted of acts falling within Section 1709 (Deceit) and Section 1710 (Fraud) and, more specifically, included suggestions of fact which were not true and which defendants, their "alternate entities," and each of them, did not believe to be true; assertions of fact which were not true and which defendants, their "alternate entities," and each of them, had no reasonable ground for believing to be true, and the suppression of fact when a duty existed to disclose it, all as are more fully set forth herein; the violation of any one such duty gave rise to a cause of action for violation of the rights of the plaintiff as provided for in the aforementioned Civil Code sections.

54. Since on or before 1930, the defendants, their "alternate entities," and each of them, have known and have possessed the true facts of medical and scientific data and other knowledge which clearly indicated that the asbestos and asbestos-containing products referred to in plaintiff's First Cause of Action were and are hazardous to the health and safety of plaintiff, and others in plaintiff's position working in close proximity with such materials. The defendants, their "alternate entities," and each of them, have known of the dangerous propensities of other of the aforementioned materials and products since before that time. Defendants' misrepresentations went far beyond the bounds of mere promises because defendants affirmatively stated through those means more fully described herein that defendants' asbestos and/or asbestos-containing products were safe and safe to use for their intended uses, but they were not safe. Defendants knew at the time of their misrepresentations that such representations were false; defendants knew at the time of their misrepresentations that their asbestos and/or asbestos-containing products were not safe or safe for their intended uses. Despite knowing of the dangers to plaintiff of working with and around defendants' asbestos and/or asbestos-containing, defendants failed to disclose such dangers and in fact concealed the same from plaintiff. Defendants' misrepresentations and

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concealment of the dangers attendant to working with and around defendants' asbestos and/or asbestos-containing products occurred during such periods as defendants researched, manufactured, fabricated, designed, modified, tested or failed to test, abated or failed to abate, warned or failed to warn of the health hazards, labeled, assembled, distributed, leased, bought, offered for sale, supplied, sold, inspected, serviced, installed, contracted for installation, repaired, marketed, warranted, re-branded, manufactured for others, packaged and advertised asbestos and other products containing asbestos, and more specifically as it relates to plaintiff, during those periods of employment when plaintiff worked with defendants' asbestos and/or asbestoscontaining products as detailed in exhibit A. The mere fact that defendant made its asbestoscontaining products available for purchase, use, sale, or otherwise to plaintiff and others was an intentional and affirmative fraudulent act. With intent to deceive, falsely represent facts regarding the true hazards of working with and around asbestos-containing products, conceal the health risks associated with working in an asbestos-contaminated environment, and purposefully failing to disclosure such material facts to plaintiff, and others in plaintiff's position, and with the intent that plaintiff and such others should be and remain ignorant of such facts with intent to induce plaintiff and such others to alter his and their positions to his detriment, injury and/or risk and in order to gain economic advantages within the market, the following acts occurred:

a. Defendants, their "alternate entities," and each of them, did not label any of the aforementioned asbestos-containing materials and products regarding the hazards of such materials and products to the health and safety of plaintiffs and others in plaintiffs' position working in close proximity with such materials until 1964 when certain of such materials were labeled by some, but not all, of defendants, their "alternate entities," and each of them, herein when the knowledge of such hazards was existing and known to defendants, their "alternate entities," and each of them, since on or before 1930. By not labeling such materials as to their said hazards, defendants, their "alternate entities," and each of them, caused to be suggested as a fact to

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plaintiff that it was safe for plaintiff to work in close proximity to such materials when in fact it was not true and defendants, their "alternate entities," and each of them, did not believe it to be true;

- b. Defendants, their "alternate entities," and each of them, suppressed information relating to the danger of use of the aforementioned materials by requesting the suppression of information to the plaintiff and the general public concerning the dangerous nature of the aforementioned materials to workers, by not allowing such information to be disseminated in a manner which would given general notice to the public and knowledge of the hazardous nature thereof when defendant, their "alternate entities," and each of them, were bound to disclose such information;
- c. Defendants, their "alternate entities," and each of them, sold the aforementioned products and materials to plaintiff's employers and others without advising plaintiff and others of the dangers of use of such materials to persons working in close proximity thereto when defendants, their "alternate entities," and each of them, knew of such dangers, and had a duty to disclose such dangers all as set forth herein. By said conduct, defendants, their "alternate entities," and each of them, caused to be positively asserted to plaintiff that which was not true and that which defendants, their "alternate entities," and each of them, had no reasonable ground for believing to be true, to wit, that it was safe for plaintiff to work in close proximity to such materials;
- d. Defendants, their "alternate entities," and each of them, suppressed from plaintiff medical and scientific data and knowledge of the results of studies including, but not limited to, the information and knowledge of the contents of the Lanza report. Although bound to disclose it, defendants, their "alternate entities," and each of them influenced A. J. Lanza to change his report, the altered version of which was published in Public Health Reports, Volume 50 at page 1 in 1935, thereby causing plaintiff and others to be and remain ignorant thereof. Defendants, their "alternate entities," and each of them, caused Asbestos Magazine, a widely disseminated trade journal, to omit mention of danger, thereby lessening the probability of notice of danger to the users thereof;

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- e. Defendants, their "alternate entities," and each of them, belonged to, participated in, and financially supported the Asbestos Textile Institute and other industry organizations which, for and on behalf of defendants, their "alternate entities," and each of them, actively promoted the suppression of information of danger to users of the aforementioned products and materials, thereby misleading plaintiff by the suggestions and deceptions set forth above in this cause of action. The Dust Control Committee, which changed its name to the Air Hygiene Committee, of the Asbestos Textile Institute was specifically enlisted to study the subject of dust control. Discussions in this committee were held many times regarding the dangers inherent in asbestos and the dangers which arise from the lack of control of dust, and such information was suppressed from public dissemination from 1946 to a date unknown to plaintiff at this time;
- f. Commencing in 1930 with the study of mine and mill workers at Asbestos and Thetford mines in Quebec, Canada, and the study of workers at Raybestos-Manhattan plants in Manheim and Charleston, South Carolina, defendants, their "alternate entities," and each of them, knew and possessed medical and scientific information of the connection between inhalation of asbestos fibers and asbestosis, which information was disseminated through the Asbestos Textile Institute and other industry organizations to all other defendants, their "alternate entities," and each of them, herein. Between 1942 and 1950, the defendants, their "alternate entities," and each of them, acquired medical and scientific information of the connection between inhalation of asbestos fibers and cancer, which information was disseminated through the Asbestos Textile Institute and other industry organizations to defendant herein. Thereby, defendants, their "alternate entities," and each of them, suggested to the public as a fact that which is not true and disseminated other facts likely to mislead plaintiff. Such facts did mislead plaintiff and others by withholding the afore-described medical and scientific data and other knowledge and by not giving plaintiff the true facts concerning such knowledge of danger, which defendants, their "alternate entities," and each of them, were bound to disclose;
- g. Defendants, their "alternate entities," and each of them, failed to warn plaintiff and others of the nature of said materials which were dangerous when breathed and which

could cause pathological effects without noticeable trauma, despite the fact that defendants, their "alternate entities," and each of them, possessed knowledge and were under a duty to disclose that said materials were dangerous and a threat to the health of persons coming into contact therewith;

- h. Defendants, their "alternate entities," and each of them, failed to provide plaintiff with information concerning adequate protective masks and other equipment devised to be used when applying and installing the products of the defendants, and each of them, despite knowing that such protective measures were necessary, and that they were under a duty to disclose that such materials were dangerous and would result in injury to the plaintiff and others applying and installing such material;
- i. Defendants, their "alternate entities," and each of them, when under a duty to so disclose, concealed from plaintiff the true nature of the industrial exposure of plaintiff and knew that plaintiff and anyone similarly situated, upon inhalation of asbestos would, in time, develop irreversible conditions of pneumoconiosis, asbestosis and/or cancer. Defendants, their "alternate entities," and each of them, also concealed from plaintiff and others that harmful materials to which they were exposed would cause pathological effects without noticeable trauma;
- j. Defendants, their "alternate entities," and each of them, failed to provide information of the true nature of the hazards of asbestos materials and that exposure to these materials would cause pathological effects without noticeable trauma to the public, including buyers, users, and physicians employed by plaintiff and potentially plaintiff's employers so that said physicians could examine, diagnose and treat plaintiff and others who were exposed to asbestos, despite the fact that defendants, their "alternate entities," and each of them, were under a duty to so inform and said failure was misleading; and
- k. Defendants, their "alternate entities," and each of them, failed to provide adequate information to physicians and surgeons retained by plaintiff's employers and their predecessor companies, for purposes of making physical examinations of plaintiff and other employees as to the true nature of the risk of such materials and exposure thereto when they in fact possessed such information and had a duty to disclose it.
 - 55. Defendants, their "alternate entities," and each of them, based on the state of

scientific and medical literature, as well as their own studies and research conducted regarding the health hazards associated with working with and/or around asbestos-containing product, knew that the representations made to plaintiff, plaintiff's employers, and other groups and organizations with which plaintiff was affiliated, such as unions, through which plaintiff would reasonably be expected to learn of such representations were false.

- 56. Defendants, their "alternate entities," and each of them, willfully failed and omitted to complete and file First Report of Occupational Injury of Illness regarding plaintiff's injuries, as required by law, and did willfully fail and omit to file report of injury and occupational disease with the State of California. Defendants similarly intentionally failed to state and disclose on their asbestos-containing products and/or packaging the asbestos-related health risk, including risk of asbestosis and cancer, which resulted from plaintiff and others working with and around defendants' asbestos-containing products.
- 57. Plaintiff was in the class of persons with respect to whom a duty was owed to file such reports and who would have been protected thereby if the fact of danger from products complained of had become known and plaintiffs was in the class of persons to whom a duty was owed as a consumer and user of defendants' asbestos-containing products to be informed truthfully about the risks of using such asbestos-containing products. Plaintiff and others, not sophisticated nor knowledgeable regarding asbestos health hazards and the intentions to deceive by defendants, justifiably relied on defendants' claims and representations regarding their asbestos-containing products. Had plaintiff known that defendants' asbestos and/or asbestos-containing products were unsafe for their intended uses, plaintiff would not have used and/or worked around the same.
- 58. Defendants, their "alternate entities," and each of them, having such aforementioned knowledge, and the duty to inform plaintiff about the true facts, and knowing the plaintiff did not possess such knowledge and would work with and around and ultimately breathe

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such material innocently, acted falsely and fraudulently and with full intent to cause plaintiff to remain unaware of the true facts and to induce plaintiff to work in a dangerous environment by failing to disclose known information regarding asbestos health hazards, all in violation of Sections 1708, 1709, 1710, and 1711 of the Civil Code of the State of California in an expectation of further sales and proceeds from the supply of defendants' asbestos-containing products.

FIFTH CAUSE OF ACTION (Premises Owner/Contractor Liability)

AS AND FOR A FURTHER AND FIFTH SEPARATE AND DISTINCT CAUSE OF ACTION, PLAINTIFFS COMPLAIN OF DEFENDANTS ON EXHIBIT "C," DOES 301-450, THEIR "ALTERNATE ENTITIES," (HEREINAFTER "PREMISES OWNER/CONTRACTOR LIABILITY DEFENDANTS"), AND EACH OF THEM, AND ALLEGES AS FOLLOWS:

- 59. Plaintiffs, by this reference, incorporate the allegations contained in paragraphs 9-16 of the First Cause of Action.
- 60. At all times herein mentioned, each of the Premises Owner/Contractor Liability Defendants listed on Exhibit "C" was a successor, successor-in-business, assign, predecessor, predecessor-in-business, parent, subsidiary, wholly or partially owned by, or the whole or partial owner of an entity causing certain asbestos-containing insulation, other building materials, products and toxic substances to be constructed, installed, maintained, used, replaced, repaired and/or removed on the respective premises owned, leased, maintained, managed and/or controlled by them. Said entities shall hereinafter collectively be called "alternate entities." Each of the herein-named defendants is liable for the tortious conduct of each successor, successor-inbusiness, assign, predecessor-in-business, parent, subsidiary, whole or partial owner, or wholly or partially owned entity, that caused the presence as aforesaid of said asbestos-containing insulation and other toxic substances. The defendants, and each of them, are liable for the acts of each and every "alternate entity," and each of them, in that there has been a virtual destruction of plaintiffs remedy against each such alternate entity; defendants, and each of them, have acquired the assets, or a portion thereof, of each such alternate entity; defendants, and each of them, have caused the destruction of plaintiffs remedy against each such alternate entity; each such defendant has the ability to assume the risk-spreading role of each such alternate entity, and that each such defendant enjoys the goodwill originally attached to each such alternate entity.

- At all times mentioned herein, the above-listed Premises Owner/Contractor
 Liability Defendants, and each of them, respectively, owned, leased, maintained, managed, and/or
 controlled the premises listed on Exhibit "A" and others where plaintiffs were present. The
 information provided on Exhibit "A" is preliminary, based on recall over events covering many
 years and further investigation and discovery may produce more reliable information.
 Additionally, plaintiffs might have been present at those or other Premises Owner/Contractor
 Liability Defendants' premises at other locations and on other occasions.
- 62. Prior to and at said times and places, said Premises Owner/Contractor Liability Defendants, and each of them, respectively, caused certain asbestos-containing materials, other building materials, products and toxic substances to be constructed, installed, disturbed, maintained, used, supplied, replaced, repaired and/or removed on each of the aforesaid respective premises, by their own workers and/or by various contractors and/or subcontractors, and caused the release of dangerous quantities of toxic asbestos fibers and other toxic substances into the ambient air and thereby created a hazardous and unsafe condition to plaintiffs and other persons exposed to said asbestos fibers and toxic substances while present at said premises.
- 63. At all times mentioned herein, said Premises Owner/Contractor Liability

 Defendants, and each of them, knew or in the exercise of ordinary and reasonable care should have known, that the foregoing conditions and activities created a dangerous, hazardous, and unsafe condition and unreasonable risk of harm and personal injury to plaintiffs and other workers or persons so exposed present on each of the aforesaid respective premises.
- 64. At all times relevant herein, plaintiffs entered said premises and used or occupied each of said respective premises as intended and for each of the respective Premises Owner/Contractor Liability Defendants' benefit and advantage and at each of the respective Premises Owner/Contractor Liability Defendants' request and invitation. In so doing, plaintiffs were exposed to dangerous quantities of asbestos fibers and other toxic substances released into the ambient air by the aforesaid hazardous conditions and activities managed, maintained, initiated, and/or otherwise created, controlled, or caused by said Premises Owner/Contractor Liability Defendants, and each of them.

- 65. Plaintiffs at all times was unaware of the hazardous condition or the risk of personal injury created by the aforesaid presence and use of asbestos products and materials and other toxic substances on said premises.
- 66. The hazardous condition or risk of personal injury created by the aforesaid presence and use of asbestos products and materials and other toxic substances on said premises was not a known condition that plaintiffs employer was hired to correct or repair.
- 67. At all times mentioned herein, said Premises Owner/Contractor Liability Defendants, and each of them, remained in control of the premises where plaintiffs were performing his work.
- 68. At all times mentioned herein, the Premises Owner/Contractor Liability Defendants owed to plaintiffs and others similarly situated a duty to exercise ordinary care in the management of such premises in order to avoid exposing workers such as plaintiff to an unreasonable risk of harm and to avoid causing injury to said person.
- 69. At all times mentioned herein, said Premises Owner/Contractor Liability Defendants, and each of them, knew, or in the exercise of ordinary and reasonable care should have known, that the premises that were in their control would be used without knowledge of, or inspection for, defects or dangerous conditions and that the persons present and using said premises would not be aware of the aforesaid hazardous conditions to which they were exposed on the premises.
- 70. At all times mentioned herein, said Premises Owner/Contractor Liability Defendants, and each of them, negligently failed to maintain, manage, inspect, survey, or control said premises or to abate or correct, or to warn plaintiffs of, the existence of the aforesaid dangerous conditions and hazards on said premises.
- 71. At all times herein mentioned, said Premises Owner/Contractor Liability Defendants, and each of them, negligently provided unsafe equipment, for the performance of the work that caused or contributed to plaintiffs injuries.
- 72. Prior to and at the times and places aforesaid, said Premises Owner/Contractor Liability Defendants, and each of them, respectively, caused certain asbestos-containing materials, other building materials, products and toxic substances to be constructed, installed, maintained,

used, replaced, repaired and/or removed on each of their aforesaid respective premises, by their own workers and/or by employing various contractors, and caused the release of dangerous quantities of toxic asbestos fibers and other toxic substances into the ambient air and thereby injured plaintiff.

- 73. At all times mentioned herein, said Premises Owner/Contractor Liability

 Defendants, and each of them, should have recognized that the work of said contractors would

 create during the progress of the work, dangerous, hazardous, and unsafe conditions which could

 or would harm plaintiff and others unless special precautions were taken. The said Premises

 Owner/Contractor Liability Defendants, and each of them, knew or should have known that the

 work required special procedures and instruction so as to be accomplished in a safe manner and

 avoid injury to plaintiffs and others. The Premises Owner/Contractor Liability Defendants were

 aware or should have been aware that such special procedures and instructions were not taken nor

 provided.
- 74. In part, plaintiffs was exposed to dangerous quantities of asbestos fibers and other toxic substances by reason of such premises owners and/or contractors' failure to take the necessary precautions.
- 75. The work, actions and/or inactions of contractors on premises controlled by the Premises Owner/Contractor Defendants created an unsafe premise and an unsafe work place by reason of the release of dangerous quantities of toxic substances including but not limited to asbestos.
- 76. The unsafe premise or work place was created, in part, by the negligent conduct of the contractors employed by the Premises Owner/Contractor Defendants. Said negligent conduct includes but is not limited to:
 - a. Failure to advise and warn of asbestos and other toxic dusts;
 - b. Failure to suppress the asbestos-containing or toxic dusts;

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- c. Failure to remove the asbestos-containing and toxic dusts through use of ventilation or other approved appropriate means;
- d. Failure to provide adequate breathing protection, i.e., approved respirators or masks;
 - e. Failure to inspect and/or test the air;
- f. Failure to provide proper medical monitoring, medical check-ups, and reviews, and provide reports and findings on any such check-ups or monitoring that did occur.
- 77. The Premises Owner/Contractor Defendants' duty to maintain and provide safe premises, a safe place to work, and to warn of dangerous conditions are non-delegable; said duties arise out of common law, Civil Code §1708, and Labor Code §6400, et seq., or Health and Safety Code §40200, et seq., and regulations promulgated thereunder. Therefore, the Premises Owner/Contractor Defendants are responsible for any breach of said duties whether by themselves or others.
- 78. Prior to and at said times and places, said Premises Owner/Contractor Liability Defendants were subject to certain ordinances, statutes, and other government regulations promulgated by the United States Government, the State of California, local and regional governments and agencies, and others, including but not limited to the General Industry Safety Orders promulgated pursuant to California Labor Code §6400 and the California Administrative Code under the Division of Industrial Safety, Department of Industrial Relations, including but not limited to Title VIII, Group 9 (Control of Hazardous Substances), Article 81, §§4150, 4106, 4107, and 4108, and Threshold Limit Values as documented for asbestos and other toxic substances under Appendix A, Table 1 of said Safety Orders; additionally, California Health and Safety Code §40200, et seq., which empowers the Bay Area Air Quality Management District and South Coast Air Quality Management District to promulgate regulations including but not limited to BAAQMD, Regulation 11, Rules 2 and 14, Title 40 Code of Federal Regulations, Chapter 1, Part 61, et seq., and related SCAQMD regulations, The National Emission Standards for Hazardous Air Pollutants, which required said Premises Owner/Contractor Liability Defendants to provide specific safeguards or precautions to prevent or reduce the inhalation of asbestos dust and other toxic fumes or substances; and said Premises Owner/Contractor Liability Defendants failed to

provide the required safeguards and precautions, or contractors employed by the Premises Owner/Contractor Liability Defendants failed to provide the required safeguards and precautions. Defendants' violations of said codes include but are not limited to:

- Failing to comply with statutes and allowing ambient levels of airborne a. asbestos fiber to exceed the permissible/allowable levels with regard to the aforementioned statutes;
- b. Failing to segregate work involving the release of asbestos or other toxic dusts;
 - c. Failing to suppress dust using prescribed ventilation techniques;
 - Failing to suppress dust using prescribed "wet down" techniques; d.
- Failing to warn or educate plaintiff or others regarding asbestos or other e. toxic substances on the premises;
 - f. Failing to provide approved respiratory protection devices;
- Failing to ensure "approved" respiratory protection devices were used g. properly;
- h. Failing to provide for an on-going health screening program for those exposed to asbestos on the premises which included disclosure and/or discussion of ongoing health consequences of asbestos exposure with plaintiff;
- i. Failing to provide adequate housekeeping and clean-up of the work place;
- j. Failing to properly warn of the hazards associated with asbestos as required by these statutes;
- k. Failing to properly report renovation and disturbance of asbestoscontaining materials, including but not limited to B.A.A.Q.M.D. Regulation 11-2-401 and S.C.A.Q.M.D regulations;
- 1. Failing to have an asbestos removal supervisor as required by regulation;
 - Failing to get approval for renovation as required by statutes; m.
 - Failing to maintain records as required by statute; and n.

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- o. Failing to provide plaintiffs with alternative, safe, asbestos-free work areas and/or failure to remove plaintiffs from an asbestos-laden environment where ongoing exposures to asbestos increased the risk of plaintiffs contracting an asbestos-related disease.
- p. Failing to apprise plaintiffs of the results of any such medical monitoring of physical check-ups that occurred and the risks of contracting asbestos-related diseases.
- 79. Plaintiffs at all times were unaware of the hazardous condition or the risk of personal injury created by defendants' violation of said regulations, ordinances or statutes.
- 80. At all times mentioned herein, plaintiffs were a member of the class of persons whose safety was intended to be protected by the regulations, statutes or ordinances described in the foregoing paragraphs.
- 81. In such circumstances where plaintiffs were the employee or "statutory employee" of said Premises Owner/Contractor Liability Defendants as defined by the California Labor Code and related California case law interpretations, such Premises Owner/Contractor Liability Defendants owed a duty of care to prevent injury, harm, damage, or loss to plaintiff. Instead, well outside the normal risk of plaintiffs employment, said Premises Owner/Contractor Liability Defendants caused plaintiff to be exposed to asbestos-containing products and other airborne toxic asbestos, fraudulently concealed and failed to disclose the unsafe premises and conditions due to asbestos and toxic products contamination, as well as the known and recognized injurious health consequences of repeated and reoccurring exposures by plaintiff to asbestoscontaining products, materials, and other toxic asbestos substances, and plaintiffs exposure to asbestos-containing products and the concurrent injury to his person was aggravated by the employer's fraudulent concealment of the existence of hazardous asbestos-containing products exposure and concurrent injury and its connection with plaintiffs employment and job duties. Moreover, plaintiffs said Premises Owner/Contractor Liability Defendants employers illegally failed to obtain and maintain proper and sufficient workers' compensation insurance or failed to obtain workers' compensation insurance which covered plaintiffs injuries, damages, losses, or harms, such that the California Labor Code sections 3600, 3700, et seq., permit recovery in Superior Court.

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- 82. At all times mentioned herein, said Premises Owner/Contractor Liability

 Defendants, and each of them, knew, or in the exercise of ordinary and reasonable care should have known, that the premises that were in their control would be used without knowledge of, or inspection for, defects or dangerous conditions, that the persons present and using said premises would not be aware of the aforesaid hazardous conditions to which they were exposed on the premises, and that such persons were unaware of the aforesaid violations of codes, regulations and statutes.
- 83. Said Premises Owner/Contractor Liability Defendants knew of the harmful exposures to asbestos sustained by Plaintiffs at, by and/or through the actions and/or inactions of their own employees or others under the direct and immediate instruction, control and/or supervision of said Premises Owner/Contractor Liability and said Premises Owner/Contractor Liability Defendants concealed and/or failed to disclose to plaintiffs the harmful effects and bodily injuries suffered by plaintiffs as a result of such exposures to asbestos.
- 84. As a legal consequence of the foregoing, plaintiffs developed an asbestos-related illness, which has caused great injury and disability as previously set forth, and plaintiff has suffered damages as herein alleged.

WHEREFORE, plaintiffs pray judgment against defendants, their "alternate entities," and each of them, as hereinafter set forth.

SIXTH CAUSE OF ACTION (Loss of Consortium)

AS AND FOR A FURTHER, EIGHTTH, SEPARATE, FURTHER AND DISTINCT CAUSE OF ACTION FOR LOSS OF CONSORTIUM, PLAINTIFF'S SPOUSE COMPLAINS OF DEFENDANTS, THEIR "ALTERNATE ENTITIES," AND EACH OF THEM, AND ALLEGES AS FOLLOWS:

- 85. Plaintiff, and, ALBERT WRIGHT JR's, spouse, MARVA JOE GREEN WRIGHT, incorporates by reference each and every paragraph of the First through Fifth Causes of Action herein.
- 86. Plaintiffs were legally married at all times relevant to this action were, and are now, husband and wife.

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- 87. Prior to plaintiff's injuries as alleged, plaintiff was able and did perform duties as a spouse. Subsequent to the injuries and as a proximate result thereof, plaintiff has been unable to perform the necessary duties as a spouse, including physical and emotional companionship, and the work and service usually performed in the care, maintenance and management of the family home, and plaintiff will be unable to perform such work, service and duties in the future. As a proximate result thereof, plaintiff's spouse has been permanently deprived and will be deprived of the consortium of her spouse, including the performance of duties, all to her damages, in an amount presently unknown to plaintiffs but which will be proved at the time of trial.
- 88. Discovery of the cause of plaintiff's spouse's loss of consortium, as herein alleged, first occurred within one year of the date this complaint was filed.
- 89. As a direct and proximate result of the acts of defendants, their "alternate entities," and each of them, and the severe injuries caused thereby to plaintiff as set forth in this complaint, plaintiff's spouse has suffered, and for a long period of time will continue to suffer loss of consortium, including but not by way of limitation, loss of services, marital relations, society, comfort, companionship, love and affection of said spouse, and has suffered severe mental and emotional distress and general nervousness as a result thereof.

WHEREFORE, plaintiff prays judgment against defendant, and each of them, as is hereinafter set forth.

DAMAGES

Plaintiffs pray judgment against defendants and each of them as follows:

For special damages according to proof;

For general damages according to proof;

For medical expenses according to proof;

For loss of income according to proof;

For punitive damages according to proof; For plaintiffs' costs of suit herein; and, For such other further relief as this Court and the law deems just and proper. KELLER, FISHBACK & JACKSON LLP Dated: September 12, 2007 Attorneys for Plaintiff

EXHIBIT "A"

Plaintiff, ALBERT WRIGHT, JR. suffers from asbestos-caused diseases, including but not limited to, lung cancer and asbestosis.

Plaintiff, ALBERT WRIGHT, JR. was exposed to asbestos of varied types and sources during his employment, which may have included, but is not limited to: asbestos-containing insulating and insulation materials; asbestos-containing insulation, pumps, gaskets, valves, packing; asbestos-containing boilers, refractory materials, compressors; engines, turbines, motors and related equipment; asbestos-containing friction products; asbestos-containing flooring/decking materials; asbestos-containing joint/taping/topping/patching compounds; and other asbestos-containing products. Plaintiffs allege and believe that ALBERT WRIGHT JR's exposure to asbestos may have occurred at job sites including, but not limited to, the following:

EMPLOYER: United Floor DATE: 1960-1963

SITE:

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DURATION: Approximately 3 years

Various residential and commercial sites in and around San Francisco,

CA and Oakland, CA and surrounding metropolitan area.

JOB TITLE: Laborer/floor installer

EMPLOYER: U.S. Army DATE: 1963-1965

DURATION: Approximately 2 years

SITE: Fort Ord, Monterrey, CA; Fort Seal, OK; Hannow, Germany;

USS JERMEMIAH OBRIEN.

JOB TITLE: Supply clerk

EMPLOYER: U.S. Navy/Civil Service

DATE: 1965-1966

DURATION: Approximately 1 year

SITE: U.S. Naval Weapons Station, Port Chicago, CA

JOB TITLE: Crane operator

EMPLOYER: U.S. Navy/Civil Service

DATE: 1966-1973

DURATION: Approximately 7 years

SITE: Hunter's Point Naval Shipyard San Francisco, CA

JOB TITLE: Machinist/flange turner

EMPLOYER: Mare Island Naval Shipyard

DATE: 1973-1995

DURATION: Approximately 22 years

SITE: Vallejo, CA

JOB TITLE: Machinist/flange Turner

EMPLOYER: Vernon B. Morris Realty

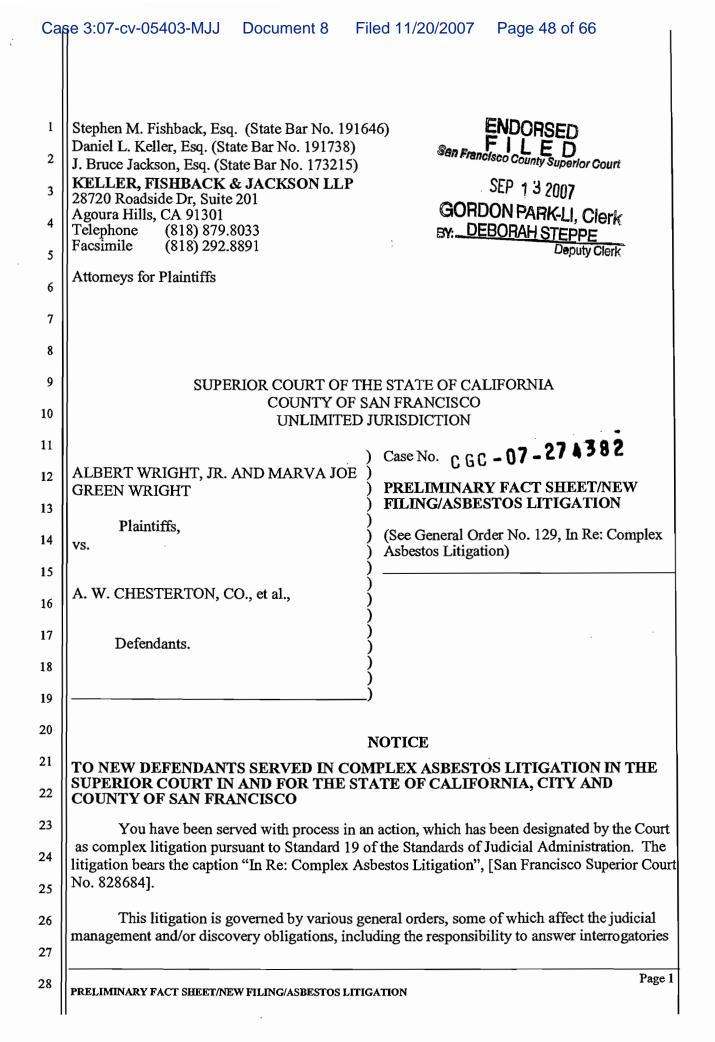
DATE: 1995-Present

DURATION: Approximately 12 years 1 SITE: Berkeley, CA Real estate salesman JOB TITLE: 2 Plaintiff worked aboard various ships and vessels while working as a machinist/flange turner 3 including, but not limited to: USS MIDWAY; USS ENTERPRISE; USS KITTY HAWK; USS 4 CORAL SEA; USS ORISKANY; USS CONSTELLATION; USS MOUNT HOOD; USS JOHN F KENNEDY; USS HANCOCK; USS TICONDEROGA; USS PROVIDENCE; USS MOUNT 5 BAKER; USS MAUNA KEA; USS PIGEON; USS PYRO; USS GUITARRO; USS DRUM; USS PINTADO; USS HAWKBILL; USS PERMIT; USS SWORDFISH; USS HALIBUT; USS 6 GRAYBACK USS BRINKLEY BASS; USS TRIGGER; USS WAHOO 7 Plaintiffs' investigation and discovery are continuing. 8 9 10 11 12 13 14 15 16 17 18 19 20 21 22 23 24 25 26 27 28

1	EXHIBIT "B"
2	A.W. CHESTERTON COMPANY, INC.;
3	AMERICAN STANDARD, INC.;
4	ASBESTOS CORPORATION LTD.;
5	BUFFALO PUMPS, INC.;
6 7	CBS CORPORATION, a DELAWARE CORPORATION, individually, successor-in-interest to and formerly known as VIACOM, INC. and WESTINGHOUSE
8 9	ELECTRIC CORPORATION; CRANE CO.;
10 11	CROWN CORK & SEAL COMPANY, INC., individually and as successor-in-interest to MUNDET CORK COMPANY;
12	DOMCO, INC. FLOOR PRODUCTS (TEXAS), individually and successor-in-interest to AZROCK INDUSTRIES, INC.;
13	DOUGASS INSULATION COMPANY, INC.;
14 15	FAIRBANKS MORSE COMPANY;
16	FLOWSERVE CORPORATION, individually and as successor-in- interest to WORTHINGTON PUMPS;
17	FMC CORPORATION;
18 19	FOSTER WHEELER LLC;
20	FRASER'S BOILER SERVICE, INC.;
21	GARLOCK SEALING TECHNOLOGIES, LLC, individually and successor-in-interest to GARLOCK, INC.;
23	GENERAL ELECTRIC COMPANY;
24	GEORGIA-PACIFIC CORPORATION;
25	GOULDS PUMPS, INC.;
26	GRINNELL CORPORATION:
27	HILL BROTHERS CHEMICAL COMPANY;
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1	HONEYWELL INTERNATIONAL, INC. individually and formerly known as ALLIED SIGNAL, INC., as successor-in-interest to the BENDIX CORPORATION;
IMO INDUSTRIES, INC. individually and as successor-in-interest to DELA PUMPS and WARREN PUMPS;	IMO INDUSTRIES INC individually and as successor in interest to DELALVAL
4	INGERSOLL-RAND CO.;
5	ITT INDUSTRIES, INC. individually and as successor-in-interest to BELL & GOSSETT;
6	J.T. THORPE & SON, INC.;
7	KAISER GYPSUM COMPANY, INC.;
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9	KENTILE FLOORS, INC.;
10	LESLIE CONTROLS, INC.;
11	METALCLAD INSULATION CORPORATION, individually and as successor-in-interest to
12	NOR-CAL INSULATION;
13	MINNESOTA MINING CORPORATION aka 3M COMPANY;
14	NIBCO, INC. dba CALNIBCO
15	OWENS-ILLINOIS, INC.;
16	PLANT INSULATION COMPANY; QUINTEC INDUSTRIES, INC.;
17	POWER ENGINEERING AND EQUIPMENT COMPANY, INC.;
18	RAPID AMERICAN CORP., individually and as successor-in-interest to PHILIP CAREY
19	CORPORATION;
20	SEPCO CORPORATION:
21	SIEMENS CORPORATION, aka, dba, and/or individually and successor-in-interest to
	SIEMENS ENERGY AND AUTOMATION, INC., SIEMENS POWER GENERATION;
	SOCO-WEST, INC.;
24	STERLING FLUID SYSTEMS (USA) LLC, dba and individually and successor-in-interest to PEERLESS PUMP COMPANY, INDIAN HEAD, INC.;
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26	THORPE INSULATION CORPORATION;
27	WARREN PUMPS, LLC; DOES 1-300;
28	

1	EXHIBIT "C"
2	CBS CORPORATION, a DELAWARE CORPORATION, individually, successor-
3	in-interest to and formerly known as VIACOM, INC. and WESTINGHOUSE ELECTRIC CORPORATION;
4 5	DOUGLASS INSULATION COMPANY, INC.;
6	FOSTER WHEELER LLC;
7	GENERAL ELECTRIC COMPANY:
8	J.T. THORPE & SON, INC.;
9	METALCLAD INSULATION CORPORATION, individually and as successor-in-interest to NOR-CAL INSULATION;
11	PLANT INSULATION COMPANY;
12	POWER ENGINEERING AND EQUIPMENT COMPANY, INC.;
13 14	SIEMENS CORPORATION, aka, dba, and/or individually and successor-in-interest to SIEMENS ENERGY AND AUTOMATION, INC., SIEMENS POWER GENERATION
15	THORPE INSULATION CORPORATION;
16	DOES 301-450;
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1	deemed propounded in the case. You may contact the Court of Designated Defense Counsel,			
2	Berry & Berry, P.O. Box 16070 (2930 Lakeshore Avenue), Oakland, CA 94610; Telephone: (510) 250-0200; FAX: (510) 835-9859, for further information and/or copies of these orders, at			
3	your expense.			
4	1. State the complete name and address of each person whose claimed exposure to asbestos			
5	is the basis of this lawsuit ("exposed person"):			
6 7	Albert Wright Jr.			
8	2. Does plaintiff anticipate filing a motion for a preferential trial date within the next four			
9	months? Yes XX No			
10	[If yes, the action will be governed by General Order No. 140; if no, the action will be governed			
11	by General Order No. 129.]			
12	3. Date of birth of each exposed person in item one and, if applicable, date of death:			
13	DOB: September 24, 1939			
14	Social Security Number of each exposed person:			
15	SS #: 488-40-0577			
16	4. Specify the nature or type of asbestos-related disease alleged by each exposed person.			
17 18	XX_AsbestosisMesothelioma			
19	XX_Pleural Thickening/PlaquesOther Cancer: Specify:			
20	XX_Lung Cancer Other Than MesotheliomaOther: Specify:			
21	5. For purposes of identifying the nature of exposure allegations involved in this action,			
22	please check one or more:			
23	XX Shipyard XX Construction Friction-Automotive			
24	XX PremisesAerospaceXX Military			
25	Other: Specify all that apply:			
26	If applicable, indicate which exposure allegation apply to which exposed person.			
27	Page			
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PRELIMINARY FACT SHEET/NEW FILING/ASBESTOS LITIGATION

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6. Identify each location alleged to be a source of an asbestos exposure, and to the extent known, provide the beginning and ending year(s) of each such exposure. Also specify each exposed person's employer and job title or job description during each period of exposure. (For example: "San Francisco Naval Shipyard - Pipefitter - 1939-1948"). Examples of locations of exposure might be a specific shipyard, a specific railroad maintenance yard, or perhaps more generalized descriptions such as "merchant marine" or "construction". If an exposed person claims exposure during only a portion of a year, the answer should indicate that year as the beginning and ending year (e.g., 1947-1947).

SEE EXHIBIT "A" ATTACHED HERETO AND MADE A PART HEREOF

- 7. For each exposed person who:
- worked in the United States or for a U.S. agency outside the territorial United States, attached to the copy of this fact sheet provided to Designated Defense Counsel a fully executed Social Security Earnings authorization (Exhibit N-4 to General Order No. 129);
- b. may have had a Social Security disability award or is no longer employed and whose last employment was not with a United States government agency, attach to the copy of the fact sheet provided to Designated Defense Counsel a fully executed Social Security Disability authorization (Exhibit N-5 to General Order No. 129);
- c. served at any time in the United States military, attach to the copy of this fact sheet provided to Designated Defense Counsel two fully executed originals of the stipulation (Exhibit N-3 to General Order No. 129);
- d. was employed by the United States government in a civilian capacity, attach to the copy of this fact sheet provided to Designated Defense Counsel two fully executed originals of the stipulation (Exhibit N-3 to General Order No. 129).
- 8. If there is a wrongful death claim, attach to the copy of this fact sheet provided to Designated Defense Counsel a copy of the death certificate, if available. If an autopsy report

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Filed 11/20/2007

Page 51 of 66

Case 3:07-cv-05403-MJJ Document 8

CASE NUMBER: CGC-07-274382 ALBERT WRIGHT JR' et al VS. A.W. CHERSTON COMPAN

NOTICE TO PLAINTIFF

A Case Management Conference is set for

DATE:

AUG-20-2008

TIME:

1:30PM

PLACE:

Department 206

400 McAllister Street

San Francisco, CA 94102-3680

All parties must appear and comply with Local Rule 3.3 and 3.4.

CRC 212 (g)(1) requires the filing and service of a case management statement form CM-110 no later than 15 days before the case management conference.

Plaintiff must serve a copy of this notice upon each party to this action with the summons and complaint. Proof of service subsequently filed with this court shall so state.

[DEFENDANTS: Attending the Case Management Conference does not take the place of filing a written response to the complaint. You must file a written response with the court within the time limit required by law. See Summons.]

Superior Court Alternative Dispute Resolution Coordinator 400 McAllister Street, Room 103 San Francisco, CA 94102 (415) 551-3876 Westlaw.

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(Cite as: 1996 WL 532150 (N.D.Cal.))

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Only the Westlaw citation is currently available.

United States District Court, N.D. California. Robert S. OVERLY and Louise S. Overly Plaintiffs,

RAYBESTOS-MANHATTAN, et al. Defendants.
No. C-96-2853 SI.

Sept. 9, 1996.

ORDER REMANDING CASE TO SUPERIOR COURT

ILLSTON, District Judge.

*1 On September 6, 1996, the Court heard argument on plaintiffs' motion to remand. Having considered the arguments of counsel and the papers submitted, the Court hereby GRANTS plaintiffs' motion to remand this case to San Francisco County Superior Court. The Court also GRANTS plaintiffs' motion for attorney fees and costs under 28 U.S.C. § 1447(c).

BACKGROUND

On April 30, 1996, plaintiffs filed the complaint in this action in San Francisco County Superior Court. The Superior Court granted trial preference to the case pursuant to California Code of Civil Procedure § 36(d), because Mr. Overly is dying and is expected to survive only a few months; trial was set for October 7, 1996.

On August 9, 1996, after the trial date had been set, defendant Avondale Industries, Inc. removed the case to federal court pursuant to 28 U.S.C §§ 1441, 1442, and 1446. On August 20, 1996, plaintiffs filed a motion to remand and requested that it be heard on an expedited basis.

According to the allegations of the complaint, plaintiff Robert Overly was exposed to asbestos while working in a shipyard in the 1960's. Defendant Avondale controlled part of the premises of Mr. Overly's employment during this period of time. Plaintiffs' complaint alleges that Avondale knew or should have known that the premises it controlled contained hazardous conditions, namely products

containing asbestos. Plaintiffs further allege that defendant hired contractors and subcontractors who used products containing asbestos, but failed to warn individuals in the surrounding area of the existence of asbestos or to take precautions against human exposure to the chemical.

Plaintiffs allege that, as a result of exposure to asbestos while working in the shipyard, Mr. Overly acquired mesothelioma, a cancer usually resulting from asbestos exposure. In May of this year, Mr. Overly was diagnosed with six months remaining to live. Plaintiffs have proceeded against Avondale on the "failure to warn theory" of products liability.

Avondale does not contest Mr. Overly's assertion that he was exposed to asbestos during his work on the Avondale shipyard. Instead, it claims that the work done by Mr. Overly consisted primarily of work on naval ships, and that Avondale is therefore protected by government contractor immunity. Avondale asserts that it was under rigid guidelines by the federal government concerning the design of naval ships. Thus, Avondale asserts that it is entitled to the protection of the Federal Officer Removal Statute, thereby providing grounds for federal jurisdiction.

LEGAL STANDARD

A suit filed in state court may be removed to federal court if the federal court would have had original subject matter jurisdiction over that suit. 28 U.S.C. § 1441(a); Snow v. Ford Motor Co., 561 F.2d 787, 789 (9th Cir.1977). Three potential bases for federal subject-matter jurisdiction are relevant to this suit: (1) federal question jurisdiction under 28 U.S.C. § 1331, [FN1] (2) admiralty jurisdiction under 28 U.S.C. § 1333, [FN2] and (3) supplemental jurisdiction under 28 U.S.C. § 1367. [FN3]

FN1. 28 U.S.C. § 1331 states that "[t]he district courts shall have original jurisdiction of all civil actions arising under the Constitution, laws, or treaties of the United States."

FN2. Under 28 U.S.C. § 1333, "[t]he district courts shall have original jurisdiction, ex-

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clusive of the courts of the States, of ... [a]ny civil case of admiralty or maritime jurisdiction, saving to suitors in all cases all other remedies to which they are otherwise entitled."

<u>FN3.</u> Supplemental or pendent party jurisdiction exists where claims "are so related to claims in the action within such original jurisdiction that they form part of the same case or controversy." 28 U.S.C. § 1367(a).

The Court may decline to exercise supplemental jurisdiction if: (1) the claim raises a novel or complex issue of state law; (2) the claim substantially predominates over the claim or claims over which the district court has original jurisdiction; (3) the district court has dismissed all claims over which it has original jurisdiction, or (4) in exceptional circumstances, there are other compelling reasons for declining jurisdiction. § 1367(c).

*2 A motion to remand is the proper procedure for challenging removal. Remand to state court may be ordered either for lack of subject matter jurisdiction or for any defect in removal procedure. See 28 U.S.C. § 1447(c). The court may remand sua sponte or on motion of a party, and the parties who invoked the federal court's removal jurisdiction have the burden of establishing federal jurisdiction. See Emrich v. Touche Ross & Co., 846 F.2d 1190, 1195 (9th Cir. 1988) (citing Wilson v. Republic Iron & Steel Co., 257 U.S. 92, 97 (1921)).

The removal statute is strictly construed against removal jurisdiction and doubt is resolved in favor of remand. *Libhart v. Santa Monica Dairy Co.*, 592 F.2d 1062, 1064 (9th Cir.1979).

The existence of federal jurisdiction on removal must normally be determined on the face of the plaintiffs complaint. See <u>Louisville & Nashville R.R. v.</u> <u>Mottley, 211 U.S. 149 (1908)</u>. A "cause of action arises under federal law only when the plaintiff's well pleaded complaint raises issues of federal law." <u>Metropolitan Life Ins. Co v. Taylor, 481 U.S. 58, 63 (1987)</u>.

However, the Court may examine the entire record to determine if the real nature of the claim is federal, notwithstanding plaintiff's characterization to the contrary, when the plaintiff has, by "artful pleading," attempted to defeat defendant's right to a federal forum. See <u>Federated Dep't Stores, Inc. v. Moitie, 452 U.S. 394, 397 n. 2 (1981)</u>; Salveson, 525 F.Supp. at 572. A complainant cannot "avoid federal jurisdiction simply by omitting from the complaint federal law essential to his claim, or by casting in state law terms a claim that can be made only under federal law." <u>Harper v. San Diego Transit Corp., 764 F.2d 663, 666 (9th Cir.1985)</u>.

The Federal Employee Removal Statute was enacted as a means for federal employees to bypass the well-pleaded complaint rule and raise immunity-related defenses as a basis for removal.

DISCUSSION

I. Jurisdiction Under the Federal Officer Removal Statute

The Federal Officer Removal Statute provides that an action may be removed by "[a]ny officer of the United States or any agency thereof, or person acting under him, for any act under color of such office." Title 28 U.S.C. § 1442(a)(1). In Mesa v. California. 489 U.S. 121 (1989), the Supreme Court held that § 1442(a)(1) requires the moving party to: (1) demonstrate that it acted under the direction of a federal officer; (2) raise a colorable federal defense to plaintiff's claims; and (3) demonstrate a causal nexus between plaintiff's claims and the acts defendants performed under color of federal office. Id. at 124-25, 134-35. Before applying the test outlined in Mesa, however, a defendant must qualify as a "person" for the purposes of 28 U.S.C. § 1441(a)(1). As a corporation, defendant Avondale meets this preliminary requirement. Fung v. Abex Corp., 816 F.Supp. 569, 572 (N.D.Cal.1992).

A. Acts Under the Direction of A Federal Officer

*3 Under the first prong of the *Mesa* test, defendant must show that it was acting under the direction of a federal officer. It is not enough to prove only that "the relevant acts occurred under the general auspices

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(Cite as: 1996 WL 532150 (N.D.Cal.))

of' a federal officer, Ryan v. Dow Chemical Co., 781 F.Supp. 934, 946 (E.D.N.Y.1992), or that the defendant was a member of a regulated industry. Id.; Bakalis v. Crossland Sav. Bank, 781 F.Supp. 140. 144-45 (E.D.N.Y.1991). In the area of product liability, government contract immunity has been limited to cases where defendant companies can show "strong government intervention and the threat that a defendant will be sued in state court 'based upon actions taken pursuant to federal direction." Fung v. Abex Corp., 816 F.Supp. at 573 (quoting Gulati v. Zuckerman, 723 F.Supp. 353 (E.D.Pa.1989)).

In this case, the liability asserted by the plaintiffs is limited to the failure to warn theory of products liability. Plaintiffs allege that because Avondale failed to warn Mr. Overly of the existence of asbestos in his work environment, Avondale should be held liable for his resulting illness. Plaintiffs are not pursuing a design defect claim of products liability and have offered in writing to waive all potential claims related to design defects.

Although Avondale has established that it was under substantial control by the government regarding the installation of certain products containing asbestos, it has not done so with regard to the warning of individuals of the presence of asbestos on the job site.

B. Colorable Federal Defense

The second prong of the Mesa test is that defendant must possess a colorable federal defense. Defendant Avondale presents two potential federal defenses.

1. Longshore and Harbor Workers Compensation Act One defense asserted by Avondale is that plaintiffs' recovery is limited to relief under the Longshore and Harbor Workers Compensation Act (LHWCA) § 1 et seq., 33 U.S.C.A. \$ 901 et seq. The LHWCA provides a basis for compensation from employers to certain employees injured in the course of employment while working upon navigable waters or the harbors and shipyards adjoining such waters. 33 U.S.C.A. § 903.

As an initial matter, defendant is barred from asserting a defense on this basis because the LWHCA was not mentioned in defendant's petition for removal as required under 28 U.S.C. § 1446(a).

Furthermore, even if applied to this case, the LWHCA does not constitute a colorable federal defense for Avondale. Mr. Overly was not an employee of Avondale, he was an employee of Westinghouse. The "borrowed employee" concept asserted by defendant has not been adopted by the Ninth Cir-Furthermore, even under the Third Circuit guidelines cited by the defendant, Mr. Overly would not qualify as a "borrowed employee" for the purposes of the LWHCA because there has been absolutely no showing that he explicitly agreed to work under conditions controlled solely by Avondale. Peter v. Hess Oil Virgin Islands Corp., 903 F.2d 935, 942 (1990). Thus, the LWHCA does not constitute a colorable defense to plaintiffs' common law causes of action.

2. Government Contractor Immunity

*4 The second ground on which defendant asserts it possesses a colorable federal defense is the government contractor defense. Under this theory, contractors who supply military equipment to the government are immunized from liability under state tort law providing that they can meet the test outlined in Boyle v. United Technologies Corp., 487 U.S. 500 (1988). In Boyle, the Court set the standard for finding state law liability for design defects in military equipment. Id. at 511. Military contractor immunity for design defects applies when: "(1) the United States approved reasonably precise specifications; (2) the equipment conformed to those specifications; and (3) the supplier warned the United States about the dangers in the use of the equipment that were known to the supplier but not to the United States." Id. at 512.

The Ninth Circuit has ruled that in failure to warn product liability cases involving asbestos allegedly used in the construction of naval ships, a defendant must specifically show that "in making [its] decisions regarding warnings [it was] acting in compliance with 'reasonably precise specifications' imposed on [it] by the United States." In re Hawaii Federal Asbestos Cases, 960 F.2d 806, 813 (9th Cir.1992). Thus, in order to meet the Boyle test in a failure to

Page 4

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Not Reported in F.Supp., 1996 WL 532150 (N.D.Cal.)

(Cite as: 1996 WL 532150 (N.D.Cal.))

ment affirmatively instructed it regarding the provision of warnings.

Defendant's only showing on this motion relates to the government's manufacturing and engineering specifications. Defendant has not demonstrated that the government provided "reasonably precise specifications" affecting Avondale's provision warnings. Boyle, 487 U.S. at 512. Absent a showing by defendant that the federal government gave specific instructions to Avondale not to warn employees of the existence of asbestos, Avondale is offered protection by government contractor immunity. See In re Hawaii Federal Ashestos Cases. 960 F.2d at 813. Therefore, defendant fails to meet the second prong of the Mesa standard because Avondale has no colorable federal defense to the charges in this case.

C. Causal Nexus

The final requirement under the Mesa test is that there be a causal nexus between the rules imposed by the United States on the defendant contractor by the federal government and the liability asserted by "[T]here must be a causal connection plaintiff. between what the officer has done under asserted official authority and the state prosecution. It must appear that the [state] prosecution has arisen out of the acts done by the officer under color of federal authority and in enforcement of federal law." Maryland v. Soper, 270 U.S. 9, 22 (1926).

Defendant has produced abundant evidence attesting to the regulations imposed by the federal government on the manufacture and design of ships built by Avondale for the U.S. Navy. However, none of these guidelines addresses Avondale's responsibility to warn its employees of their exposure to products containing asbestos. The government in no way restricted Avondale's ability to notify individuals of the presence of asbestos in the work environment. Thus, there is no causal connection between the control exercised by the United States over Avondale and the legal theory under which plaintiffs seek to hold Avondale liable. Consequently, Avondale has no basis on which to assert application of the Federal Officer Removal Statute, and the case must be remanded to state court.

II. Attorney Fees & Costs

*5 Title 28 U.S.C. § 1447(c) provides that when granting a motion for remand on the basis of a lack of federal subject matter jurisdiction, a court may order the defendant to pay plaintiff "its just costs and any actual expenses, including attorney fees, incurred as a result of the removal." An award under § 1447(c) is entirely within the Court's discretion.

Under its discretion, the Court finds that the case was removed "improvidently and without jurisdiction." Id. The Court hereby awards plaintiffs their reasonable costs and attorney fees associated with removal, as requested, in the amount of \$2,000, to be paid by defendant Avondale within ten days of the date of this order.

CONCLUSION

For the foregoing reasons and for good cause shown, plaintiffs' motion to remand is GRANTED. Plaintiffs' motion for reasonable costs and attorney fees incurred as a direct consequence of removal is GRANTED in the amount of \$2,000.

IT IS SO ORDERED.

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(Cite as: 1994 WL 139287 (N.D.Cal.))

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Only the Westlaw citation is currently available.

United States District Court, N.D. California. Bonifacio T. VIALA, Plaintiff,

v.

OWENS-CORNING FIBERGLAS CORP., et al.,
Defendants.
No. C 94-0399 EFL.

April 13, 1994.

ORDER REMANDING CASE TO STATE COURT LYNCH, District Judge.

*1 Plaintiff Viala filed this suit in San Francisco Superior Court claiming injuries arising out of exposure to asbestos while working at the Subic Bay military base in the Philippines. Several but not all defendants have timely joined in the removal of this case to this Court. Viala has brought a motion to remand the case to state court.

Defendants have the burden of establishing that removal was proper. <u>Gaus v. Miles, Inc.</u>, 980 F.2d 564, 566-67 (9th Cir.1992). The removal statute is strictly construed against removal jurisdiction. <u>Emrich v. Touche Ross & Co.</u>, 846 F.2d 1190, 1195 (9th Cir.1988).

Defendants base removal on two independent grounds: (1) that a federal question is present under 28 U.S.C. § 1331 and Article 1, section 8, clause 17 of the U.S. Constitution and that removal is therefore proper under 28 U.S.C. § 1441 because this Court would have had original (either concurrent or exclusive) jurisdiction over the case under the doctrine of federal enclave jurisdiction, [FN1] and (2) that removal is proper under 28 U.S.C. § 1442 because some defendants are being sued in state court for injuries arising out of conduct they were directed to undertake by a federal officer.

In addition, defendants argue that this Court should defer from ruling on this jurisdictional question and allow Judge Weiner in Pennsylvania to address this issue pursuant to the Panel on Multi-District Litigation's (MDL) consolidation of asbestos cases before him for purposes of pre-trial motions.

Viala argues that this Court should rule on this motion, since these types of jurisdictional issues are better determined by a "transferor" court such as this than by the "transferee" MDL court. Addressing the merits, Viala argues that "federal enclave jurisdiction" is no longer a valid concept nor is it applicable here, that in any event not all defendants have consented to removal on federal question grounds, and that removal under § 1442 is not justified because defendants have not established that they were "acting under" a federal officer or that they have a colorable government contractor defense.

For the reasons set forth below, the Court concludes that it is appropriate for this Court to rule on this motion and that, addressing the merits, removal of this case to federal court is not justified and remand to state court is proper. [FN2]

The Court agrees with the parties that it is allowed to defer on this remand issue to Judge Weiner. The Court also agrees with the parties that the conditional transfer order issued in this case (and objected to by Viala) in no way deprives this Court of jurisdiction to hear and decide this motion on its own.

The Court disagrees with defendants that deferring on this motion and allowing Judge Weiner to rule on it would promote the interests of efficiency and uniformity. Indeed, defendants' extolling the virtues of uniformity is somewhat disingenuous where, as here, the first wave of Subic Bay cases was remanded to state court but defendants have continued to attempt the removal of subsequent waves to the federal courts. Given that the *first* 29 cases are in state court, uniformity would clearly have been served if defendants had left subsequent cases in the state court in which they were filed, so that they could be decided uniformly with the first 29 Subic Bay cases.

*2 The purpose of the MDL panel's referring of asbestos cases to Judge Weiner was not to make one judge rule on the various difficult issues that might Document 8

Not Reported in F.Supp., 1994 WL 139287 (N.D.Cal.)

(Cite as: 1994 WL 139287 (N.D.Cal.))

Case 3:07-cv-05403-MJJ

come up in different factual contexts. Rather, cases are supposed to be referred to Judge Weiner for determination of issues common to asbestos litigation. To force difficult and fact-sensitive jurisdictional issues from all types of cases onto one court would impossibly overburden that court and not serve the goal of efficiency that underlies the MDL program.

Thus, this Court refuses to defer on this motion to remand and elects to address the merits.

REMOVAL UNDER SECTION 1441

The parties argue at great length over the continuing vitality of federal enclave jurisdiction and whether it is implicated in this case. For purposes of defendants' § 1441 removal, this issue is irrelevant, since the defendants have not unanimously consented to removal of this case from state court to federal court. Such unanimous consent is required under 28 U.S.C. § 1446. [FN3] See Emrich v. Touche Ross & Co., 846 F.2d 1190, 1193 N. 1 (9th Cir.1988) (discussing unanimity requirement in the context of a § 1441(a) removal); Hewitt v. City of Stanton, 798 F.2d 1230, 1232-33 (9th Cir.1986) (noting unanimity requirement for removal under § 1441(b)); see also Acierto v. Owens-Corning Fiberglas Corp., No. C-93-2136 JPV (N.D.Cal., Order of August 13, 1993). Thus, since defendants' defect in removing under section 1441 is of a procedural nature under section 1446, plaintiff's timely remand motion under section 1447(c) is granted.

REMOVAL UNDER SECTION 1442

For removal under § 1442, [FN4] defendants must (1) raise a colorable federal defense, (2) establish that they were acting under a federal officer, and (3) establish a causal connection between the conduct undertaken at the direction of the federal officer and the basis for defendants' state law liability. Mesa v. California, 489 U.S. 121 (1989), Fung v. Abex Co., 816 F.Supp. 569 (N.D.Cal.1992), Ryan v. Dow Chemical. 781 F.Supp. 934 (E.D.N.Y.1992).

For their "colorable federal defense," defendants [FN5] argue that they will be entitled to raise a "government contractor" defense. In order to establish such a defense, defendants must establish that they presented specially-designed products to the govern-

ment under federal orders or specifications that directly conflicted with the state law duty upon which the defendants are now being sued, and that: (1) the specifications were reasonably precise, (2) the equipment produced conformed to those specifications, and (3) the defendants warned the government about dangers of the products known to the defendants but not to the government. Boyle v. United Technologies. Inc., 487 U.S. 500 (1988), In Re Hawaii Federal Asbestos Cases, 960 F.2d 806 (9th Cir.1992).

Defendants fail to state a colorable federal defense because they have produced no evidence to support the prerequisites to the government contractor defense that the products were designed at the direction of federal specifications or that these specifications conflicted with the state law duties sued upon. assert the government contractor defense, the product purchased by the government cannot be a product that a supplier sells on the open market anyway. In Re Hawaii Federal Ashestos Cases, 960 F.2d at 811-12. Defendants have produced no evidence that the asbestos-containing material (apparently insulation) here sold to the government was anything but the asbestos-containing insulation being sold at the time to commercial and industrial concerns. Additionally. though defendants have produced evidence of government specifications controlling the procurement of insulation, all of the government specifications produced by defendants refer only to the performance of the insulation and not to the design or the manufacturing process. These "specifications" only established the performance criteria to be considered by government purchasers in deciding whether to purchase a particular (independently existing) product. Thus, there is no evidence that the specifications provided by the government in any way conflicted with defendants' state law duty to design and manufacture safe products that did not cause asbestos-related illnesses. In the absence of such a conflict, the government contractor defense is not colorable. Thus, § 1442 removal is inappropriate.

*3 For the same reasons, defendants have failed to satisfy the requirement under § 1442 that there be a nexus between the directions of the federal officer and the conduct for which defendants are in trouble under state law. Additionally, defendants have failed Not Reported in F.Supp.

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(Cite as: 1994 WL 139287 (N.D.Cal.))

to establish that they were acting under the direction or control of any federal officer when they designed or manufactured their asbestos-containing products. For each of these reasons, § 1442 removal is also defeated.

Though this Court grants remand on the basis that defendants [FN6] have not produced sufficient evidence to justify removal under δ 1442, this is not the result--as it apparently was in Acierto--of defendants' having produced no evidence at all. Rather, defendants have submitted to this Court numerous declarations and documents, as well as excerpts from depositions taken in asbestos litigation from all across the country. The Court has reviewed all of this evidence. Defendants fail to justify removal under § 1442 because this evidence does not support the essential elements necessary to establish a colorable government contractor defense nor does it support the governmental direction and causal nexus requirements that are further necessary for § 1442 removal. Thus, defendants have failed to carry their burden of establishing that section 1442 removal was proper.

Accordingly, Viala's motion is granted and this case is REMANDED to state court.

IT IS SO ORDERED.

FN1. Article I, section 8, clause 17 of the United States Constitution reads: "Congress shall have Power ... to exercise exclusive legislation in all Cases whatsoever ... over all Places purchased by the consent of the Legislature of the State in which the Same shall be, for the Erection of Forts, Magazines, Arsenals, dock-yards, and other needful Buildings."

FN2. This Court notes that this resolution on the merits is consistent with that reached in the only other case from this district to reach the merits of such removal. See Acierto v. Owens-Corning Fiberglas Corp., et al. No. C 93-2136 JPV (N.D.Cal., Order dated August 13, 1993).

The parties speak of the cases filed arising out of Subic Bay exposures as having been

brought by plaintiff's counsel (and removed here by defendants) in "waves." The first wave consisted of cases related by Judge Vukasin and then remanded to state court in the above referenced order. wave consisted of cases related by Judge Walker, who subsequently deferred to Judge Weiner on this remand motion (which is still under submission before Judge Weiner). The third wave was also related by Judge Walker and the remand motion was again deferred to Judge Weiner. This fourth wave, consisting of 42 cases now before 10 judges of this district, has not been related. According to representations of counsel at oral argument, the four judges of this district who have ruled on these fourth wave cases have each deferred the remand motion to Judge Weiner. Thus, this case represents only the second instance in which the merits of defendants' removal have been addressed.

FN3. Defendant Flintkote seems to argue before this Court that the procedural requirement of unanimity is not needed if the substance of the federal question being removed is one over which a federal court would have exclusive jurisdiction. Flintkote offers absolutely no authority for this proposition, other than that its attorney remembers plaintiff's attorney not contesting this point at some other hearing. Since nothing in the language of sections 1441 or 1446 or in the case law surrounding these sections lends credence to this distinction, the Court rejects it. See, e.g., Bradwell v. Silk Greenhouse, 828 F.Supp. 940, 943-44 (M.D.Fla.1993) (remanding for lack of unanimous consent to removal, even though the ERISA claims invoked exclusive federal jurisdiction), Samuel v. Langham, 780 F.Supp. 424, 427 (N.D.Tex.1992) (remanding a case to state court even accepting ERISA pre-emption); see also McCain v. Cahoj, 794 F.Supp, 1061 (D,Kan.1992) (remanding a case because of a procedural defect in the removal despite protestations of exclusive federal jurisdicNot Reported in F.Supp., 1994 WL 139287 (N.D.Cal.)

(Cite as: 1994 WL 139287 (N.D.Cal.))

tion).

Plaintiff filed this case in state court. In order to invoke removal under a federal question theory, it is a procedural requirement that all defendants timely consent to the removal. This has not been done, so the case is not properly removed on federal question grounds. If Flintkote believes that the state court has no jurisdiction over this suit because of federal enclave jurisdiction -- a position upon which this Court expresses no opinion--Flintkote is free to bring whatever motions it deems appropriate in the state court proceeding. Defendants simply are procedurally barred from removing this case to federal court on the basis of a federal

FN4. There is no unanimity requirement for removal under section 1442. Ely Valley Mines, Inc. v. Hartford Acc. & Indem. Co., 644 F.2d 1310 (9th Cir.1981). Removal under section 1442 confers subject matter jurisdiction over the entire case to the federal district court. Concerned Tenants Assn. of Father Panik Village v. Pierce. 685 F. Supp. 316 (D. Conn. 1988).

FN5. Only defendants Owens-Illinois and Pittsburgh-Corning argue that the government contractor defense is available to them and produce evidence in support of this section 1442 removal. Since all defendants are equally affected and thus have equal interests in the ability of either of these two defendants to establish a proper 1442 removal, see note 4, supra, the Court will discuss it as a grounds argued by the defendants as a ground argued by the defendants as a group (while considering, of course, all of the evidence put forth by each of the two defendants raising this claim).

<u>FN6.</u> This of course means that neither Owens-Illinois nor Pittsburgh-Corning produced sufficient evidence to support the requisite elements to <u>section 1442</u> removal.

Not Reported in F.Supp., 1994 WL 139287

(N.D.Cal.)

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Exhibit D

Westlaw.

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Not Reported in F.Supp.2d, 1998 WL 690854 (N.D.Cal.)

(Cite as: 1998 WL 690854 (N.D.Cal.))

Page 1

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Only the Westlaw citation is currently available.

United States District Court, N.D. California. Quen Van NGUYEN and Ut Thi Do, Plaintiffs,

ALLIED SIGNAL, INC., et al., Defendant.
No. C 98-03616 SI.

Sept. 29, 1998.

ORDER REMANDING CASE TO SAN FRAN-CISCO SUPERIOR COURT FOR LACK OF FED-ERAL

JURISDICTION

*1 Plaintiffs Quyen Van Nguyen and Ut Thi Do filed this suit in San Francisco Superior Court on August 13, 1998. Plaintiff Quyen Van Nguyen claims injuries arising out of exposure to asbestos while working at Tan Son Nhut Air Force Base in Vietnam. Plaintiff Nguyen has contracted mesothelioma, a fatal cancer caused by exposure to asbestos. Plaintiff Nguyen's health is failing rapidly and he is not expected to live more than six months.

Defendant Pneumo Abex Corporation received a copy of the summons and complaint, through an agent for service of process, on August 18, 1998. This same defendant removed the action to this Court on September 18, 1998. Several—but not all-defendants thereafter joined in the removal. Plaintiffs filed an expedited motion to remand the case to state court, to which defendants have filed voluminous papers in opposition. The Court finds this motion is suitable for submission without oral argument, pursuant to Civil Local Rule 7-1(b).

Defendants have the burden of establishing that removal was proper. <u>Gaus v. Miles, Inc.</u>, 980 F.2d 564, 566-67 (9th Cir.1992). The removal statute is strictly construed against removal jurisdiction. *Id.* at 566. Based upon the pleadings and materials filed by the parties, the Court finds that removal was defective as a technical matter, and further finds, more fundamentally, that there is no federal jurisdiction over this matter. Consequently, the action is remanded to San

Francisco Superior Court.

DISCUSSION

1. Defects in Removal

A. Removal is Untimely

Defendant Pneumo Abex Corporation (Abex) received a copy of the complaint, through an agent for service of process (Prentice Hall) on August 18, 1998. Abex disputes whether service on it was effective, but does not dispute receipt of the summons and complaint on that date. Abex did not remove until September 18, 1998--thirty-one days later. As such, defendants' removal is untimely, having been filed more than thirty days after receipt of the complaint. 28 U.S.C. § 1446(b). Therefore, remand is proper.

However, as is discussed below, even if defendants' removal had been timely, there is no basis for federal jurisdiction here.

B. Removal Based on Alleged Federal Enclave Jurisdiction Is Improper

Defendants base their claim to federal jurisdiction on multiple grounds. First, defendants claim "federal enclave jurisdiction" on the basis that plaintiff Nguyen's exposure to asbestos occurred within a federal enclave, namely Tan Son Nhut Air Force Base in Vietnam. Courts have found federal enclave jurisdiction where the United States government has exclusive sovereignty over land, often a military base. See Fung v. Abex Corp., 816 F.Supp. 569, 571 (N.D.Cal.1992); Akin v. Big Three Industries, Inc., 851 F.Supp. 819, 822 (E.D.Tex.1994). Cases in which courts have found federal enclave jurisdiction for injuries occurring on military bases, however, have involved land which had been sold to the federal government, along with the explicit consent of the state in which the land was located to federal government control and authority over the land. See, e.g., Mater v. Holley, 200 F.2d 123 (5th Cir.1952) (Fort McPherson, GA); Willis v. Craig. 555 F.2d 724 (9th Cir.1977) (Concord Naval Weapons Station, CA); Fung v. Abex Corp., 816 F.Supp. 569 (N.D.Cal.1992) (Fort McPherson, GA; Mare Island, CA). Defendants Not Reported in F.Supp.2d

Not Reported in F.Supp.2d, 1998 WL 690854 (N.D.Cal.)

(Cite as: 1998 WL 690854 (N.D.Cal.))

cite no case involving federal enclave jurisdiction where the military base was located overseas, in another sovereign nation, nor have they made a factual showing concerning any of the sovereignty issues normally involved in the federal enclave analysis. Defendants have not met their burden of demonstrating federal enclave jurisdiction.

*2 In addition, federal enclave jurisdiction is concurrent jurisdiction. See <u>Mater</u>, 200 F.2d at 123. All defendants must join in the removal if jurisdiction is concurrent. <u>Hewitt v. City of Stanton</u>, 798 F.2d 1230 (9th Cir.1986). It appears that such joinders as have been filed were filed late, and one defendant has not joined in this petition. [FN1] Because not all defendants have joined, removal is improper.

FN1. Defendant McDonnell Douglas Corporation filed its joinder in removal on September 24, 1998. Defendants Gasket Holdings, Inc. and Maremont Corporation filed theirs on September 25, 1998. Defendant Western MacArthur has not joined in removal. There is a hearsay suggestion that defendant Western MacArthur has agreed with plaintiffs not to join in removal, but the Court does not address this as the joinder of other defendants was late and there are other grounds for remand.

2. Defendants Have Failed to Demonstrate Federal Officer Jurisdiction

Defendant Abex also argues that this case may be removed pursuant to federal officer jurisdiction under 28 U.S.C. § 1442. Joinder of all defendants is not required for removal under § 1442. Ely Valley Mines v. Hartford Acc. & Indem. Co., 644 F.2d 1310, 1315 (9th Cir. 1981).

Title 28 U.S.C. § 1442(a)(1) states that an action may be removed by "[a]ny officer of the United States or any agency thereof, or person acting under him for any act under color of such office." To show jurisdiction, at least one defendant must (1) demonstrate that it acted under the direction of a federal officer, (2) raise a federal defense to plaintiffs' claims, and (3) demonstrate a causal nexus between plaintiffs' claims

and acts it performed under color of federal office. *Mesa v. California*, 489 U.S. 121, 129-34, 109 S.Ct. 959, 965-67, 103 L.Ed.2d 99 (1989); *Fung.* 816 F.Supp. at 571-72 (N.D.Cal.1992).

A. Acts Under the Direction of A Federal Officer.

Under the first prong of *Mesa*, at least one defendant must show it was acting under the direction of a federal officer. In the area of product liability, government contractor immunity requires defendant companies to show "strong government intervention and the threat that a defendant will be sued in state court 'based upon actions taken pursuant to federal direction." ' *Fung*, 816 F.Supp. at 572 (quoting *Gulati v. Zuckerman*, 723 F.Supp. 353 (E.D.Pa.1989)).

Here, plaintiffs assert liability on a failure to warn theory of products liability, and are not pursuing a design defect theory of liability. Plaintiffs allege that defendants failed to warn users of their products containing the substance asbestos about the health hazards of asbestos. Although defendants present evidence showing federal direction of defendants regarding the installation of insulating materials, they do not show federal direction of their activities with regard to warnings attached to asbestos products. Therefore, defendants fail to meet the first prong of the *Mesa* test.

B. Colorable Federal Defense.

Defendants claim they will meet the second prong of the *Mesa* test by asserting the government contractor immunity defense. *See <u>Boyle v. United Technologies Corp., 487 U.S. 500, 108 S.Ct. 2510, 101 L.Ed.2d 442 (1988).* Plaintiffs object that the government contractor defense does not apply where, as here, the plaintiffs' sole liability theory is the defendants' failure to warn foreseeable users, consumers, workers, bystanders and others of the health hazards of asbestos.</u>

*3 In *In re Hawaii Federal Asbestos Cases*, 960 F.2d 806, 812 (9th Cir.1992), the Ninth Circuit addressed whether the government contractor defense was available where the plaintiff's theory of liability was failure to warn. There, the court noted that the government contractor defense applies only "when the

Not Reported in F.Supp.2d

Not Reported in F.Supp.2d, 1998 WL 690854 (N.D.Cal.)

(Cite as: 1998 WL 690854 (N.D.Cal.))

Case 3:07-cv-05403-MJJ

Government, making a discretionary, safety-related military procurement decision contrary to the requirements of state law, incorporates this decision into a military contractor's contractual obligations," preventing the contractor from accommodating safety concerns in a different fashion. *Id.* at 813. The Ninth Circuit noted that the government had no contractual requirements preventing the placement of warnings on the defendants' products. Therefore, in a failure to warn case, "the military contractor defense would ... be no defense at all." *Id.*

Here, defendants have produced no evidence that their contracts with the United States government contained contractual obligations specifically prohibiting them from placing warnings on their products. Instead, they produce evidence regarding government control of the specification for manufacturing the aircraft, not specifications regarding warnings. They also rely upon the affidavit of Alvin F. Meyer, which indicates only that the government approved all warnings placed in technical manuals for United States Air Force aircraft. Meyer Decl. ¶ 26. They offer no evidence that they attempted to include such warnings, or that the government specifications and contractual provisions explicitly directed them not to place warnings on their products. Therefore, the Ninth Circuit's holding in Hawaii Federal controls, and the government contractor defense cannot be the basis for federal jurisdiction in the failure to warn case here.

Sundstrom v. McDonnell Douglas Corp. 816 F. Supp. 587 (N.D.Ca. 1993), relied on by defendants, is inapposite. Sundstrom was not an asbestos case, but involved a wrongful death claim based on a defective ejection seat on a fighter aircraft. Unlike the case presented here, the plaintiff's theory in Sundstrom was defective design, not the manufacturer's failure to warn foreseeable consumers of the dangers of its product.

Neiman v. McDonnell Douglas Corp., 721 F.Supp. 1019, 1021 (S.D.III.1989), a district court case from another jurisdiction is also inapposite. There, unlike here, plaintiffs relied on a both a design defect and a failure to warn theory, and raised issues focused on government specification and control over the inclu-

sion of asbestos in the airplane, not control over the product warnings themselves. In addition, the failure to warn arguments discussed in *Neiman* addressed the contractor's obligation to warn the government of dangers in the use of equipment, not the contractor's obligation to place warnings on its products for foreseeable consumers. Also, *Neiman* was decided before the Ninth Circuit decided *Hawaii Federal*.

*4 The Ninth Circuit's decision in *Hawaii Federal* controls here. As defendants have made no showing that their contractual obligations to the government specifically prohibited them from placing warnings on their products, they cannot raise the government contractor defense in this failure to warn case.

C. Causal Nexus.

The third prong of the *Mesa* test requires a causal nexus between the rules imposed by United States on the federal contractor and the liability asserted by the plaintiff. *Mesa*, 489 U.S. at 131-33, 109 S.Ct. at 966. Although defendants include evidence regarding the control of the federal government over the manufacture of its products, again, this evidence does not demonstrate that the federal government directed defendants not to place warnings on their products. Therefore, there is no causal connection between the control exercised by the United States over defendants and plaintiffs' legal theory of failure to warn consumers of the hazards of asbestos.

The Court therefore concludes that there is no federal jurisdiction over this action. Consequently, plaintiffs' motion to remand must be granted.

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